



Standard XML Reporting Instructions and Specifications

Schema Version 5.0.2

Document Version 12.0

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Version History

Schema Version	Document Version	Changes	Author	Date
4	1	Initial documentation “2020.03.26 – FIAU XML Reporting Instructions” was published	FIAU	26/03/2020
4	2	Updated: Report Indicators Communications Type Funds Type Transaction Mode Master	FIAU	05/01/2021
4	3	Updated: Report Indicators	FIAU	20/12/2021
4	4	Updated: Report Indicators	FIAU	09/10/2023
4	5	Updated: Added new report type SCRBR Removed Report Indicator (Internal-09 Cash Restriction – EUR10K Breach)	FIAU	14/12/2023
5.0.2	6	Amendments in the list of report indicators Addition of new nodes and elements (fields) most of which are marked as non-mandatory	FIAU	11/09/2024
5.0.2	7	Amendments in the document to allow the fields from previous the Schema to be backwards compatible with the new Schema version. These are the following: t_account_my_client – beneficiary is not required t_person_my_client – passport_number is not required t_entity_my_client – incorporation_number is not required.	FIAU	19/09/2024
5.0.2	8	Updated Entity Person Role Type added SMO	FIAU	27/09/2024
5.0.2	9	Updated elements naming convention to have a uniform approach Included transaction_type_code on page 15 Included from_is_suspected on page 21 Included hyper link to page 25	FIAU	25/10/2024

5.0.2	10	<p>Further feedback from Subject Persons to further enhance uniform approach in version 9</p> <p>Added more links to relevant connected subnodes.</p> <p>Included additional information for the following:</p> <p>Type Person Related Persons t_person_in_registration t_conductor t_conductor_my_client Gender Additional Info Type Transportation Mode Type Transportation Type Party Type Role Geo Location Node</p>	FIAU	13/11/2024
5.0.2	11	<p>Added further corrections to</p> <ul style="list-style-type: none"> • t_from_my_client • t_from • t_person_registration_in_report • Employment History has been changed to Employment 	FIAU	26/11/2024
5.0.2	12	<p>Correction to data type description for Address Nodes fields:</p> <ul style="list-style-type: none"> • Apartment Number • House Number 	FIAU	28/11/2024

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1. Summary

The purpose of this specifications document is to provide both the reporting entities and reporting persons with the requirements and conditions for creating compatible XML files using the provided XML- Schema for the different supported report types.

A report file contains the following information which can be represented in the goAML client after uploading and verifying the XML file.

- Information about the report.
- Where does the money come from?
- Who conducted the transaction?
- Where does the money go to?
- Was the transaction related to a property transfer?
- Who reported the transaction(s)?
- What was the reason for the report and which actions have been taken (Optional)?
- In multi-party transactions, a list of all involved parties and their respective roles in the transactions

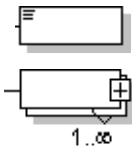

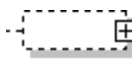

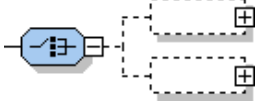
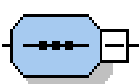

An XML report is linked to one Reporting Entity but may contain multiple transactions. An uploaded report can ONLY represent ONE report type. (i.e. STR, SAR etc.)

This document will provide a reference to the schema, nodes, and types as well as the lookup tables for enumeration values. (e.g. Country Codes)

The updated schema version now features additional nodes whereby new fields have been created for further information to fulfil a submitted report to the FIAU.

2. Conventions used in this document.

The following conventions are used in this document:

	Required field Required, 1 to N values
	Optional field
	Optional sub node
	Required sub node
	Optional, but one of the two nodes should be provided
Integer	A 32-bit value
Date time	A date and time value in the following format: YYYY-MM-DDTHH:MM: SS
	Sequence to sub nodes
	Used to indicate that only one of the included elements can be reported (Choice)

3. Description of XML Nodes

3.1 Node “report”

Basic information about Reporting Entity, reporting date and type of report. It can contain one or multiple transactions or describe an event (activity) without the need to report any transaction.

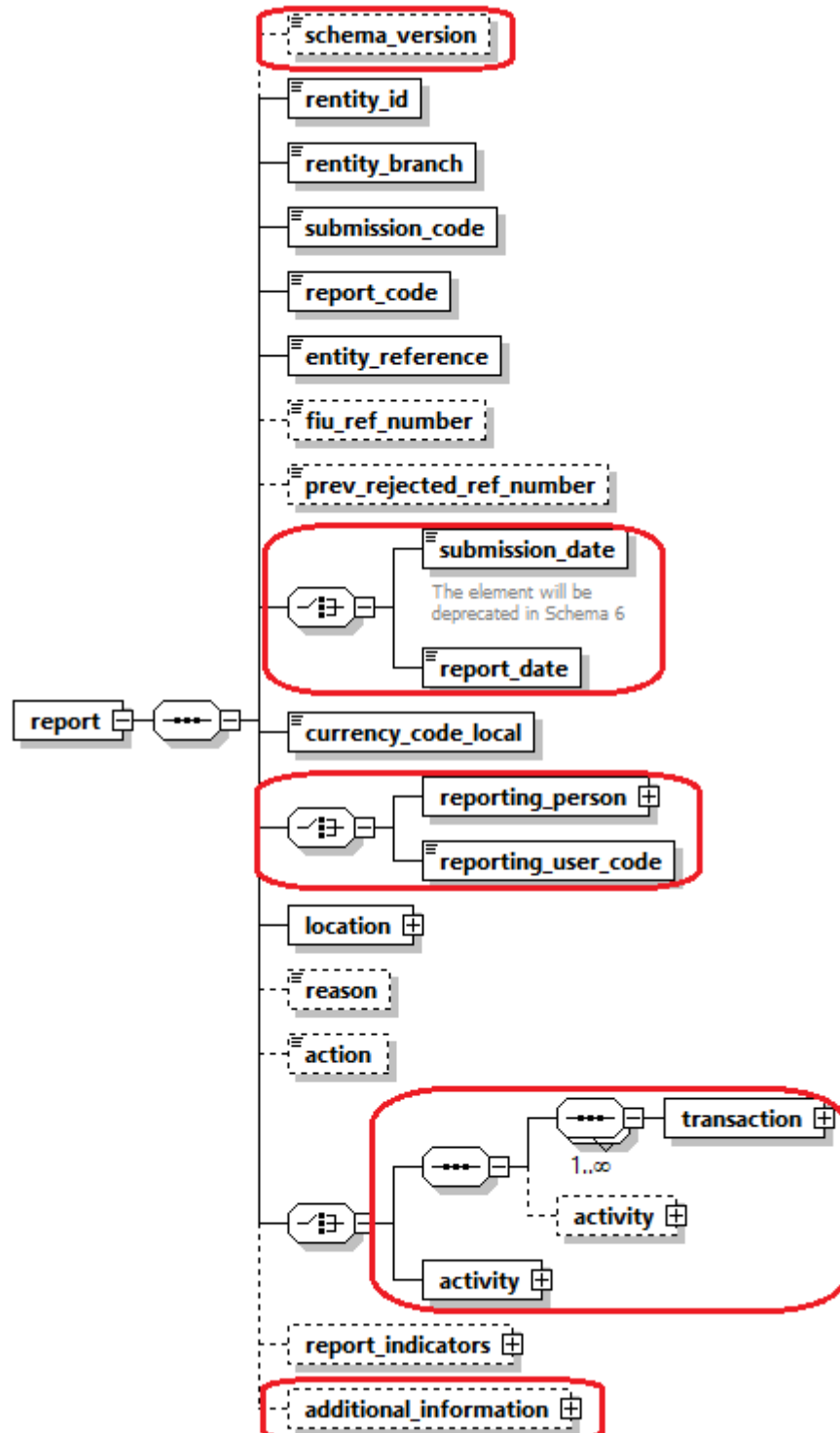


Figure 1: Overview Node “Report”

Name	Description	Length	Req.	Example
schema_version	Schema 5.0.2. Version used to generate XML	25	N	5.0.2
rentity_id	Reporting Entity number defined by FIU	Integer >= 1	Y	1237
rentity_branch	Branch of current reporting entity	255	Y	Birkirkara
submission_code	Type of submission	Enumeration	Y	See 5.1 Submission
report_code	Type of transaction (STR/CTR)	Enumeration	Y	See 5.8 Report Code
entity_reference	Optional reference to the report, used by reporting entity	255	Y	STR Rep 392
fiu_ref_number	Optional ref. number to be used as communication channel between the FIU and the Reporting Entity when providing follow-up reports on the original report	255	N	STR001234-2024
prev_rejected_ref_number	The element can host the original entity_ref_number (or Original Web Report Key if entity_ref_number is not available) of a previously rejected report. The field will allow the FIU to better follow-up on rejected reports.	255	N	12345-0-0
submission_date	Submission date and time	Date/Time	Y	2022-02-25T11:55:00
report_date	This is a suggestion to phase out the current "submission_date" and replace it with better name	Date/Time	Y	2022-02-25T11:55:00
currency_code_local	Local Currency code	Type - Currency	Y	EUR €
reporting_person	Full details of the report's reporting person	Type - "t_person_registration_in_report"	Y	
reporting_user_code	Currently, "reporting person" node can contain any name/details of a person, with no way to check if he/she is indeed a compliance officer in that RE. The "user_code" will be forced to have a valid user code of the RE or its delegation structure	50	Y	User001
location	Describes location of the reported report	Type "t_address"	Y	

reason	Why the report was reported (especially or STRs)	4000	N	Explanation on reason of reporting
action	Describes action related to the report	4000	N	Explanation on action taken on the report
transaction		Type transaction	Y	See 3.2 Node "transaction"
activity (5.0)			N	Optional Activity in Transactional Report
activity			Y	See 3.3 Node Activity
report_indicators	List of indicators for the current reports, minimum amount of indicators are to be chosen.	Type "indicator"	N	See 3.1.1 Subnode "report_indicators"
additional_information	A new optional generic node for adding any unplanned extra information. See dedicated section.	additional_information_type	N	See 4.13 Additional Information

Table 1: Details Node "Report"

3.1.1 Subnode “report_indicators”

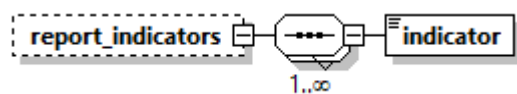


Figure 2: Overview Subnode report indicators.

Name	Description	Length	Req.	Example
indicator	Some classification for the report	25	Y - When parent node “report_indicators” is provided	Crime, Terror Funding, etc.

Table 2: Details Subnode report_indicators

3.2 Node “transaction”

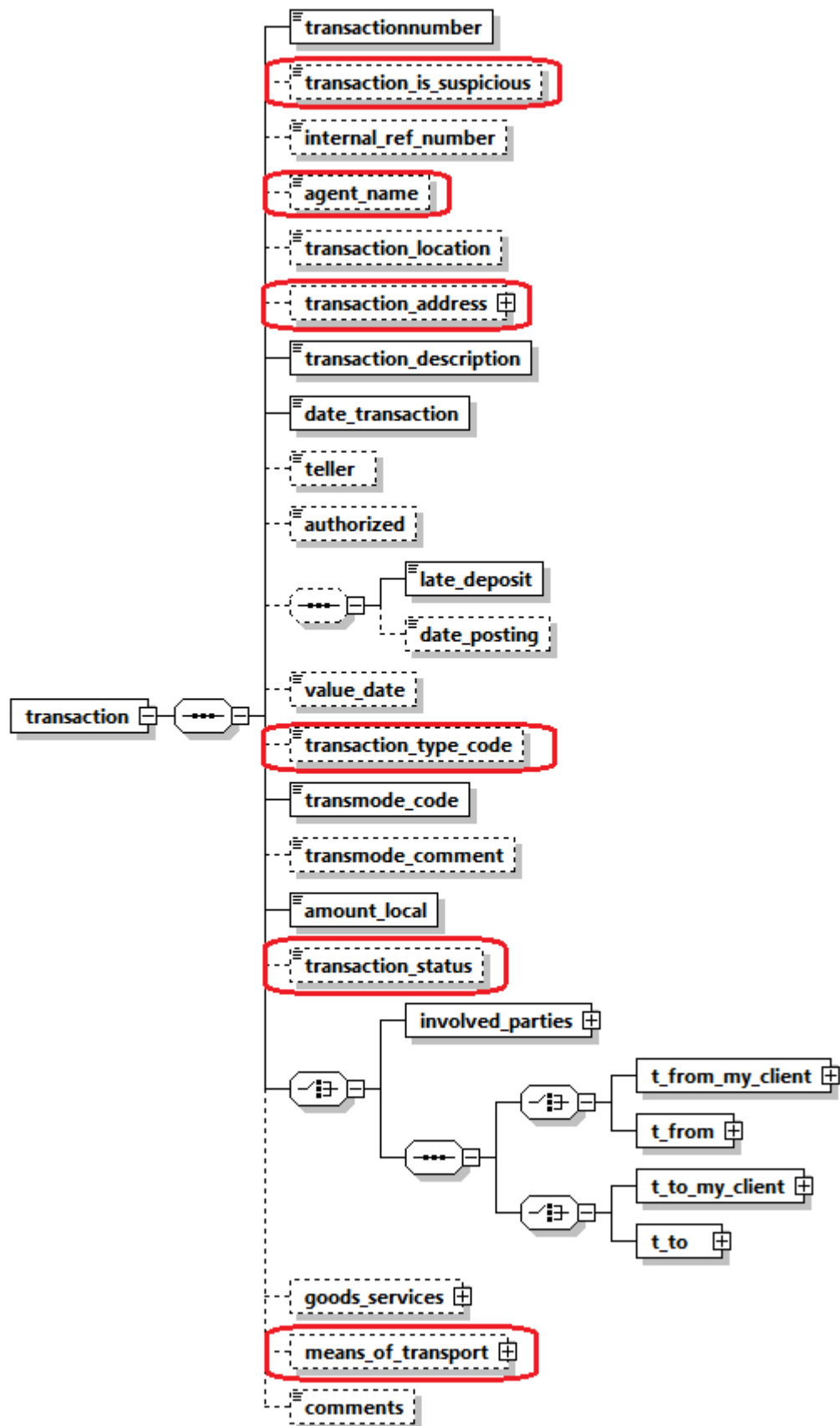


Figure 3: Overview Node “transaction”.

Name	Description	Length	Req.	Example
transaction_number	Unique transaction number for bank transaction	100	Y	20084711
transaction_is_suspicious	Indicate if the transaction is considered suspicious from the reporting entity point of view.	Boolean	N	True/False
Internal_ref_number	Reporting Entity internal transaction reference number	100	N	WU_BRNCH01_0001
agent_name	Hosts the agent's name in money transfer agencies case when main service provider submits the report	255	N	Agent001
transaction_location	Branch/Location where the transaction took place	255	N	Branch001
transaction_address	parallel to "transaction_location" element. The new node is a full address node.	t_address	N	See
transaction_description	Free text field to describe the purpose of the transaction	4000	N	
date_transaction	Date and time of the transaction	Date/Time	Y	2006-03-25T11:55:00
teller	Bank staff who conducted the transaction	50	N	ID88933345
authorized	Bank staff who authorized the transaction	20	N	ID00033345
late_deposit	Late deposit indicator	Boolean	N	True
date_posting	Date of posting (if different from date of transaction)	Date/Time	N	2006-03-24T19:55:00
late_deposit and date_posting are both optional but when setting date_posting, late_deposit becomes mandatory.		3 possible combinations: 1. one of the nodes is set 2. only late_deposit is set 3. late_deposit AND date_posting are set		
value_date	The actual date when the money will be credited (For example, Value date of a cheque)	Date/Time	N	2006-03-27T00:00:00
transaction_type_code	Defines what kind of transaction occurred answer	Enumeration	N	See 5.18 Transaction Type

transmode_code	How the transaction was conducted	Enumeration	Y	See 5.6 Conduction Type
transmode_comment	Description if transmode_code is “O” (Other)	50	N	
amount_local	The value of the transaction in local currency	Decimal	Y	
transaction_status	Describe if transaction was executed, on hold, blocked, ...etc.	Enumeration	N	See 5.19 Transaction Status
Transaction could be either a bi-party transaction with clear From and To sides, or a multi-party transaction with unlimited list of subjects (Persons, Accounts and Entities) where each has a role in the transaction rather than a clear from or to side				
Bi-Party Transaction				
One of the nodes t_from_my_client or t_from should be provided. Both CANNOT be present together in a transaction, but one of them should be present				
t_from_my_client	Specifies where the money came from. If the source is reporting bank’s client, then this node should be provided	Subnode	Y (one of them)	See 3.4 Node t_from_my_client
t_from	Specifies where the money came from	Subnode		See 3.5 Node “t_from”
One of the nodes t_to_my_client or t_to should be provided. Both CANNOT be present together in a transaction, but one of them should be present.				
t_to_my_client	Specifies where the money went. If the destination is reporting bank’s client, then this node should be provided	Subnode	Y (one of them)	See 3.6 Node t_to_my_client
t_to	Specifies where the money went.	Subnode		See 3.7 Node t_to
Multi-Party Transaction				
This is a new node in schema 3.0. It covers transactions with multi-party involvement covering non-banking transactions. Car dealers for example can report such transactions where more than one subject bought/sold a car, while the car dealer is not reported as part of the transaction but only as the reporting entity. If the transaction is reported in this way, then at least one “Party” node should be reported.				

party	Describes the involved party details	Type "t_party"	Y	See 3.8 Node t_party
goods_services	The goods/services linked to the transaction	Subnode	N	See 3.9 Subnode goods_service
means_of_transport	capture customs related reports where subjects carry money cross borders as well as possible TBML reporting	Subnode	N	See 4.15 Means of Transportation Node
comments	Generic comments field	4000	N	

Table 3: Details Node "transaction"

3.2.1 Transaction Additional Info Type

Introduced in schema 5.0.1, the type is used in goAML Application 5.1 to describe additional information in virtual currency and mobile money transactions regarding the used device, related IP address, blockchain block number and virtual address if the involved party is a Virtual Wallet.

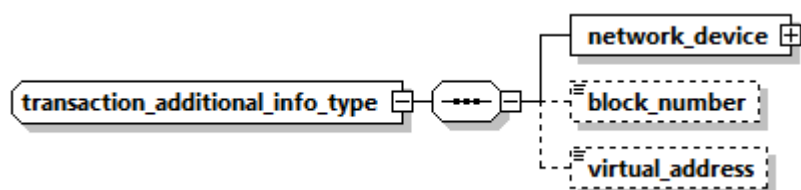


Figure 4: Overview Node Transaction Additional Info Type

Name	Description	Length	Req.	Example
network_devices	supports multi occurrence of “network device”.	Subnode	N	See 4.9.1 Network Device Type
block_number	blockchain block number	Decimal	N	
virtual_address	virtual address if the involved party is a Virtual Wallet	255	N	

Table 4: Details Node " Transaction Additional Info Type"

3.2.2 Bi-Party Transaction Model

For transaction report types, there should be a 'From' side and 'To' side to each transaction. Both 'From' and 'To' sides are further divided as 'my client' and 'not my client', leading in to four elements, namely, "t_from_my_client", "t_from", "t_to_my_client", and "t_to".

Bi-party transactions are composed of a source and destination ('From' and 'To' sides). The source and destination may be either a Person, an Account or an Entity (PAE). For cash deposits in to accounts, the source is a person, and the destination is an account, i.e. on 'From' side there is a person element (either "t_person_my_client" or "t_person") and on 'To' side there is an account element (either "t_account_my_client" or "t_account"). For cash withdrawals from accounts, there is an account element at 'From' side and person element at the 'To' side. For money remittance without account transfer (which is a person-to-person transaction), there is a person element at the 'From' side and person element at the 'To' side. The same structure of person-to-person transactions can be used for any money service type of transaction. For account transfers, there are account elements at the 'From' side and 'To' side. Same principles apply to Entities as well.

3.3 Node Activity

Activity node was introduced first in schema 4.0 to represent an event where a list of subjects and goods are related directly to the report without the need of a transaction. In Schema 5, it was enhanced to include several new elements like set of "my client" PAE elements as well as country and "is suspected". In addition, new schema supports since Application 5.0, a hybrid mode where set of one or many transactions can be followed by an activity node to allow reporting additional parties who are not involved directly in any of the reported transactions.

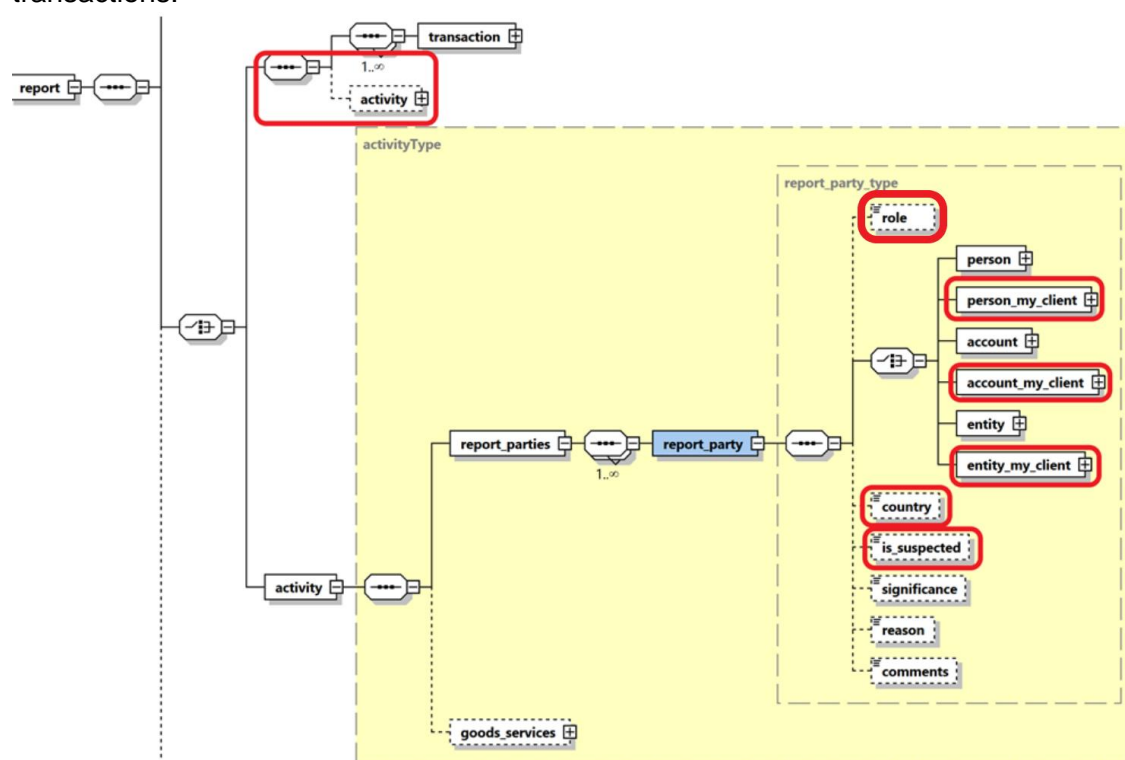


Figure 5: Overview Node "Activity"

Name	Description	Length	Req.	Example
report_parties	Represents a collection of involved subjects an involved subject with its details		Y	
report_party	Represents a single involved subject with its details. At least one party should be included.	Type report_party_type	Y	See 4.9 Type report_party_type
goods_service	The standard goods_services node available in previous schemas	Type t_trans_item	N	See 3.9 Subnode goods_service

Table 5: Details Node "transaction"

3.4 Node t_from_my_client

This node should be provided if the source side of the transaction is a client of the reporting entity.

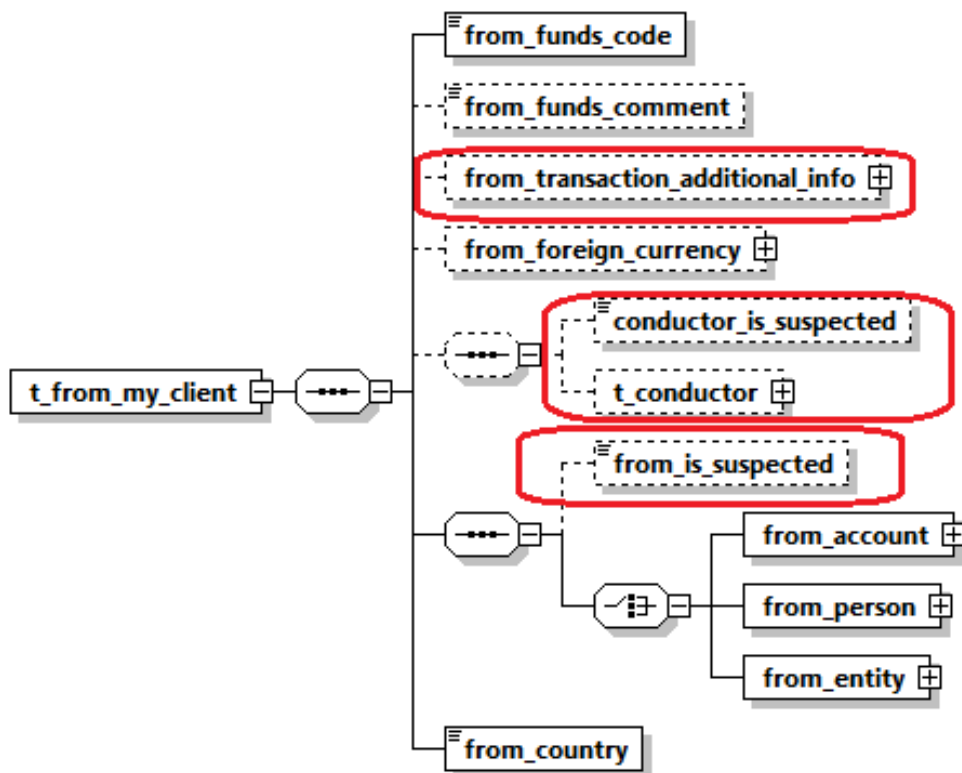


Figure 6: Overview Node "t_from_my_client"

Name	Description	Length	Req.	Example
from_funds_code	Type of funds used in initiating transaction	Enumeration	Y	See 5.2 Funds Type
from_funds_comment	Description, if funds_code is "O" (Other)	255	N	
from_transaction_additional Information	covers Virtual Currency and Mobile Money related transaction element	Subnode	N	See 3.2.1 Transaction Additional Info Type
from_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	Type t_foreign_currency	N	See 4.8 Type t_foreign_currency
conductor_is_suspected	Indicates if the conductor is a suspected party in this transaction	Boolean	N	True/False
t_conductor	The person performing the transaction	Type t_conductor_my_client	N	See 4.5.2 t_conductor_my_client
from_is_suspected	Indicates if the from party is a suspected party in this transaction	Boolean	N	True/False
from_account	Subnode that holds account information	Type t_account_my_client	Y (only one)	See 4.1.1 Type t_account_my_client
from_person	Subnode that holds "from person" information.	Type t_person_my_client		See 4.3.1 Type t_person_my_client
from_entity	Subnode that holds "from entity" information.	Type t_entity_my_client		See 4.2.1 Type t_entity_my_client
from_country	Country where transaction was initiated.	Enumeration	Y	See 5.14 Country Codes

Table 6: Details Node "t_from_my_client"

3.5 Node “t_from”

This node should be provided if the source side of the transaction is NOT a client of the reporting entity.

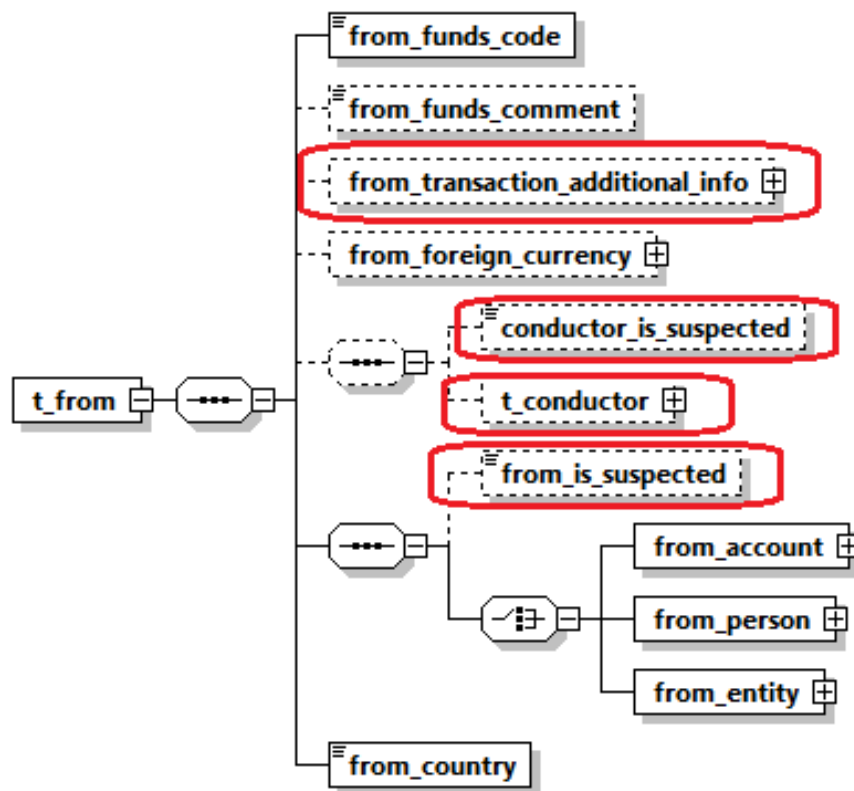


Figure 7: Overview Node "t_from"

Note: Bi-directional transactions are composed of a source and a destination. The source and destination may be either a person, an account or an entity (as per schema 4.0). For account deposits, the source is a person and the destination are an account i.e. on t_from side we will have from_person and on the t_to side we will have t_to_account. For account withdrawals, we will have from_account at the t_from side and to_person at the t_to side. For money remittances, we will have person to person transactions i.e. from_person at the t_from side and to_person at the t_to side. The same structure of person-to-person transactions can be used for any money service type of transaction. For account transfers, we will have account to account transactions i.e., from_account at the t_from side and to_account at the t_to side.

Name	Description	Length	Req.	Example
from_funds_code	Type of funds used in initiating transaction	Enumeration	Y	See 5.2 Funds Type
from_funds_comment	Description, if funds_code is “O” (Other)	255	N	
from_transaction_additional_Information	covers Virtual Currency and Mobile Money related transaction element	Subnode	N	See 3.2.1 Transaction Additional Info Type
from_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details	Type t_foreign_currency	N	See 4.8 Type t_foreign_currency
conductor_is_suspected	Indicates if the conductor is a suspected party in this transaction	Boolean	N	True/False
t_conductor	The person performing the transaction	Type t_conductor	N	See 4.5.1 t_conductor
from_is_suspected	Indicates if the from party is a suspected party in this transaction	Boolean	N	True/False

	from_account	Subnode that holds account information	type t_account	Y (one of them only)	See 4.1.1 Type t_account_my_client
	from_person	Subnode that holds “from person” information	Type t_person		See 4.3.1 Type t_person_my_client
	from_entity	Subnode that holds “from entity” information	Type t_entity		See 4.2.1 Type t_entity_my_client
from_country		Country where transaction was initiated	Enumeration	Y	See 5.14 Country Codes

Table 7: Details Node “t_from”

3.6 Node t_to_my_client

This node should be provided if the destination side of the transactions a client of the reporting entity as is a bank.

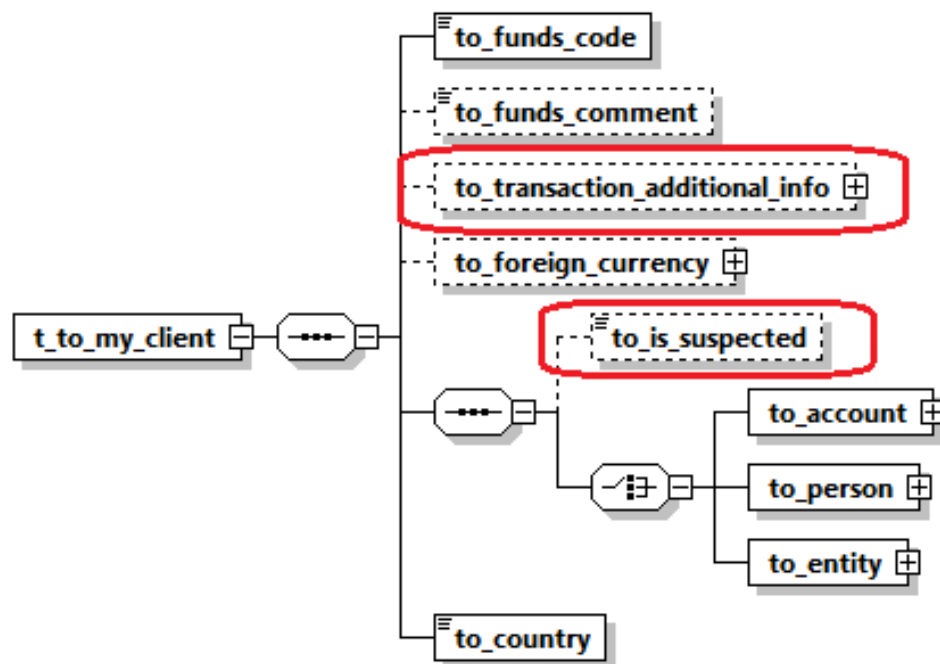


Figure 8: Overview Node `t_to_my_client`

Name	Description	Length	Req.	Example
to_funds_code	Disposition of funds	Enumeration	Y	See 5.2 Funds Type
to_funds_comment	Description, if funds_code is “O” (Other) or policy number.	255	N	
to_transaction_additional_information	Covers information related to devices and ip addresses used by a subject	Subnode	N	See 3.2.1 Transaction Additional Info Type
to_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	Type t_foreign_currency	N	See 4.8 Type t_foreign_currency
to_is_suspected	Indicates if the “to” party is a suspected party in this transaction	Boolean	N	True/False
to_account to_person to_entity	Subnode that holds account information	type t_account_my_client	Y (one of them)	See 4.1.1 Type t_account_my_client
	Subnode that holds person information	type t_person_my_client		See 4.3.1 Type t_person_my_client
	Subnode that holds “to entity” information.	Type t_entity_my_client		See 4.2.1 Type t_entity_my_client
to_country	Target country of the transaction	Enumeration	Y	See 5.14 Country Codes

Table 8: Details Node t_to_my_client

3.7 Node t_to

Information about the transaction disposition(s) - i.e., where the money went. "t_to" can either point to a person or to an account.

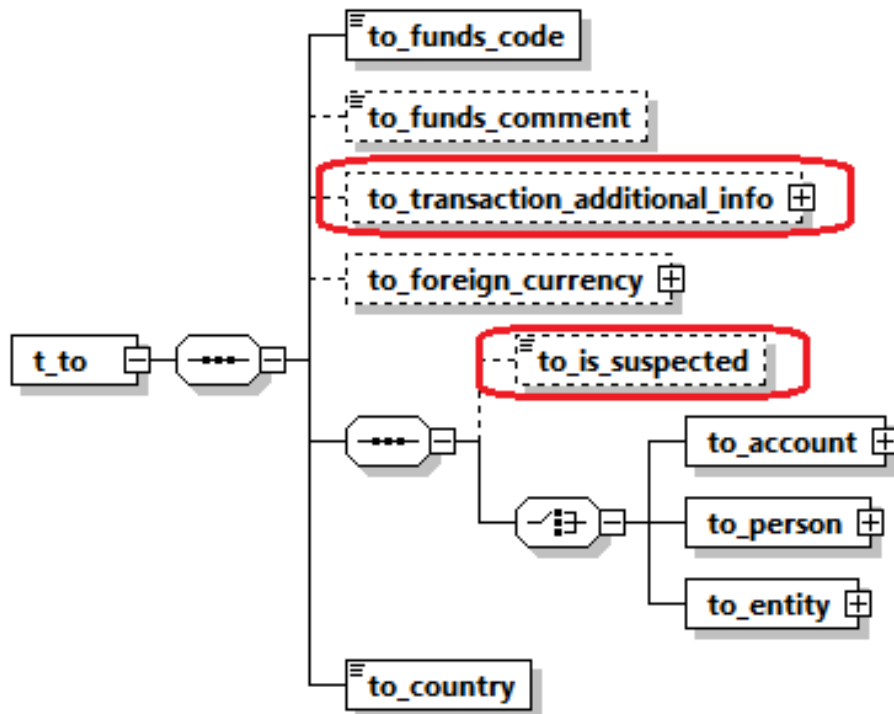


Figure 9: Overview Node t_to

Name	Description	Length	Req.	Example
to_funds_code	Disposition of funds	Enumeration	Y	See 5.2 Funds Type
to_funds_comment	Description, if funds_code is “O” (Other) or policy number.	255	N	
to_transaction_additional_information	Covers information related to devices and ip addresses used by a subject	Subnode	N	See 3.2.1 Transaction Additional Info Type
to_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.8 Type t_foreign_currency
to_is_suspected	Indicates if the “to” party is a suspected party in this transaction	Boolean	N	True/False
	to_account	Subnode that holds account information	Y (one of them)	See 4.1.1 t_account_my_client
	to_person	Subnode that holds person information		See 4.3.1 Type t_person_my_client
	to_entity	Subnode that holds “to entity” information.		See 4.2.1 Type t_entity_my_client
to_country	Target country of the transaction	Enumeration	Y	See 5.14 Country Codes

Table 9: Details Node t_to

3.8 Node t_party

This type is used to represent multi-party transaction parties.

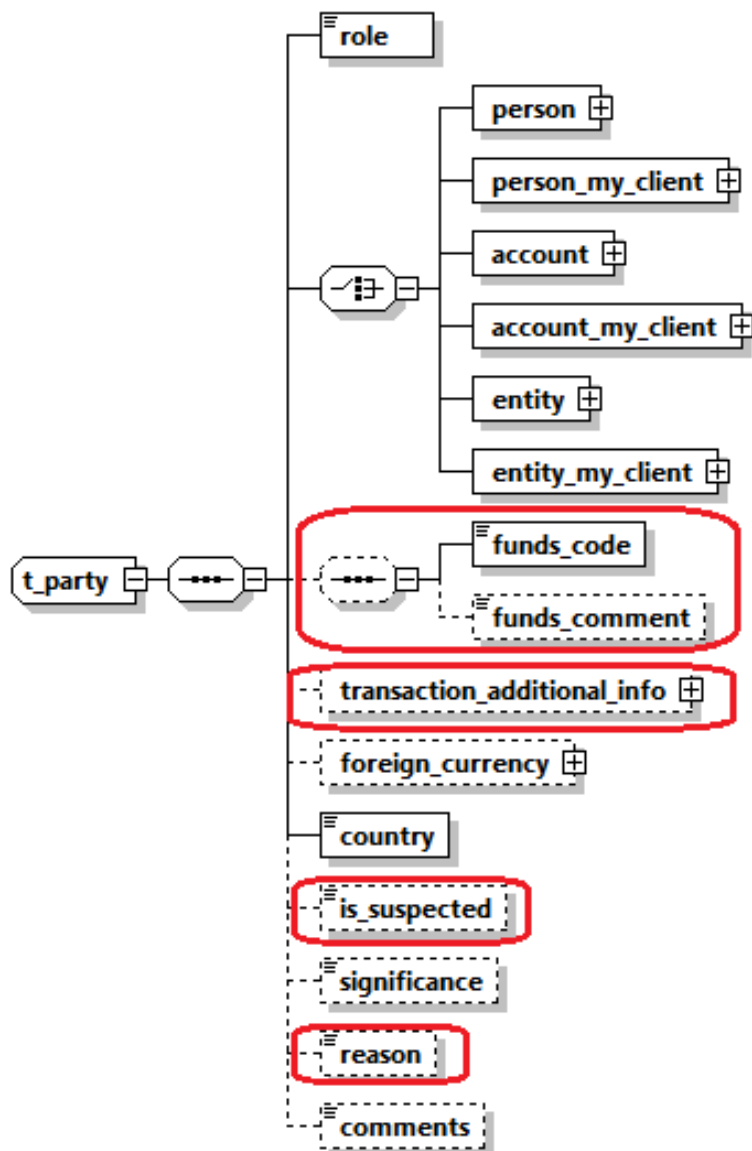


Figure 10: Overview Type `t_party`

Name	Description	Length	Req.	Example
role	Subject role in the transaction	Enumeration	Y	See 5.33 Party Type Role
person	Involved Person	Type “t_person”	Y	See 4.3.2 Type t_person
person_my_client	Involved Person	Type “t_person_my_client”	Y	See 4.3.1 Type t_person_my_client
account	Involved Account	Type “t_account”	Y	See 4.1.2 Type t_account
account_my_client	Involved Account	Type “t_account_my_client”	Y	See 4.1.1 Type t_account_my_client
entity	Involved Entity	Type “t_entity”	Y	See 4.2.2 Type t_entity
entity_my_client	Involved Entity	Type “t_entity_my_client”	Y	See 4.2.1 Type t_entity_my_client
funds_code	Type of funds used in initiating transaction	Enumeration	N	See 5.2 Funds Type
funds_comment	Description, if funds_code is “O” (Other)	255	N	
transaction_additional_information	Covers information related to devices and ip addresses used by a subject	Subnode	N	See 3.2.1 Transaction Additional Info Type
foreign_currency	specified foreign currency details	Type t_foreign_currency	N	See 4.8 Type t_foreign_currency
country	Country of the transaction	Enumeration	Y	See 5.14 Country Codes
is_suspected	Indicates if the “to” party is a suspected party in this transaction	Boolean	N	True/False
significance	The significance of the subject in the transaction	Integer	N	0-10
reason	Reason why the party is included	8000	N	
comments	Generic comments	8000	N	

Table 10: Details type t_party

3.9 Subnode goods_service

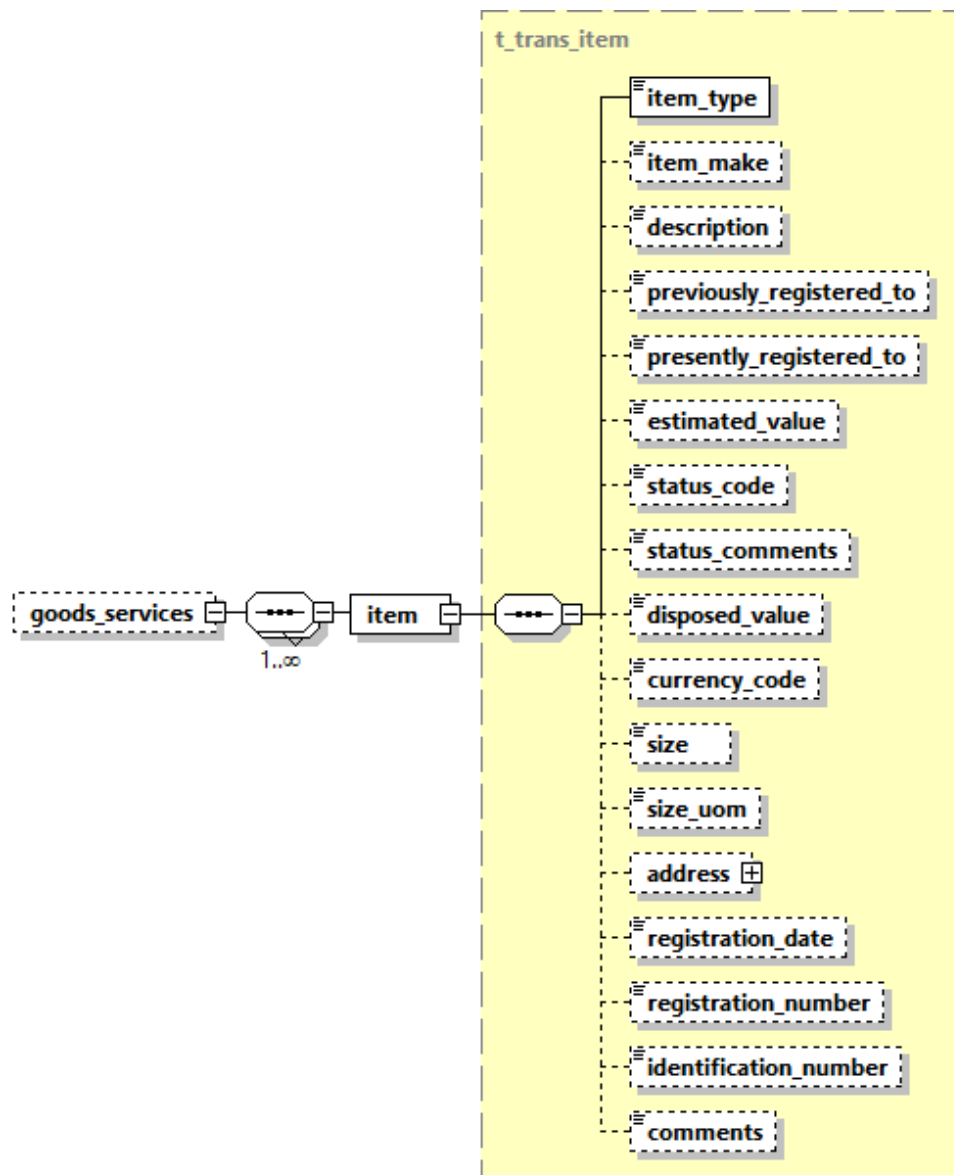


Figure 11: Overview subnode goods_services

Name	Description	Length	Req.	Example
item_type	Lookup code describes the item type	Type "trans_item_type"	Y	C = Car/L = Land
item_make	Item Maker	255	N	In case of Car for example, BMW
description	Text	8000	N	Apartment building
previously_registered_to	Name of previous owner	500	N	John Smith
presently_registered_to	Name of current owner	500	N	Jane Smith
estimated_value	Estimated value of the property – Used Currency is the one specified in node <i>from_currency</i>	Decimal	N	250000.00
status_code	Status code	Enumeration	N	See 5.7 Transaction Item Status
status_comments	Status Comments	500	N	
disposed_value	Effective value for property transfer – Used Currency is the one specified in node <i>from_currency</i>	Decimal	N	500000.00
currency_code	Used to report service conducted in foreign currency	Enumeration	N	See 5.13 Currencies
size	Size of the property – in unit specified in node <i>size_uom</i>	Decimal	N	150
size_uom	Unit of measurement	250	N	Square meters
address	Address of the property	type t_address	N	See 4.6 Type t_address
registration_date	Official registration date	Date/Time	N	2001-12-17T09:30:47
registration_number	Official registration number	500	N	Car VIN Number
identification_number	Any number that can identify the item	255	N	Car Plate Number
comments	Additional comments	8000	N	

Table 11: Details subnode goods_services

4. Description Of Common Types Used in the Schema

4.1 Type `t_account_my_client` / `t_account`

The structure of these 2 types is exactly the same, it is introduced to add more restrictions when the account is hosted in the reporting entity in comparison to an account of another RE. i.e., some nodes which are not mandatory in `t_account` can be set mandatory in `t_account_my_client`

These restrictions are defined by the local FIU. The logic here is that whenever the involved account or person is the client of the reporting entity, more details may be demanded, and the reporting entity must have the information as part of the adherence to the “Know Your Customer” (KYC) philosophy of compliance.

4.1.1 Type `t_account_my_client`



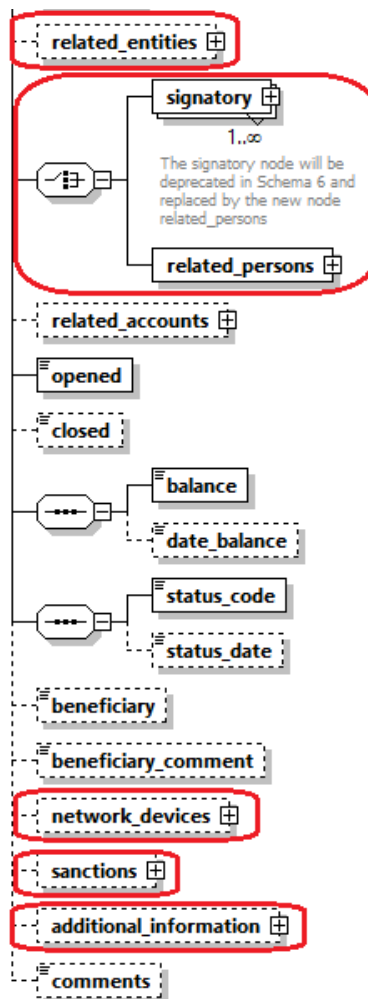



Figure 12: Overview type `t_account_my_client`

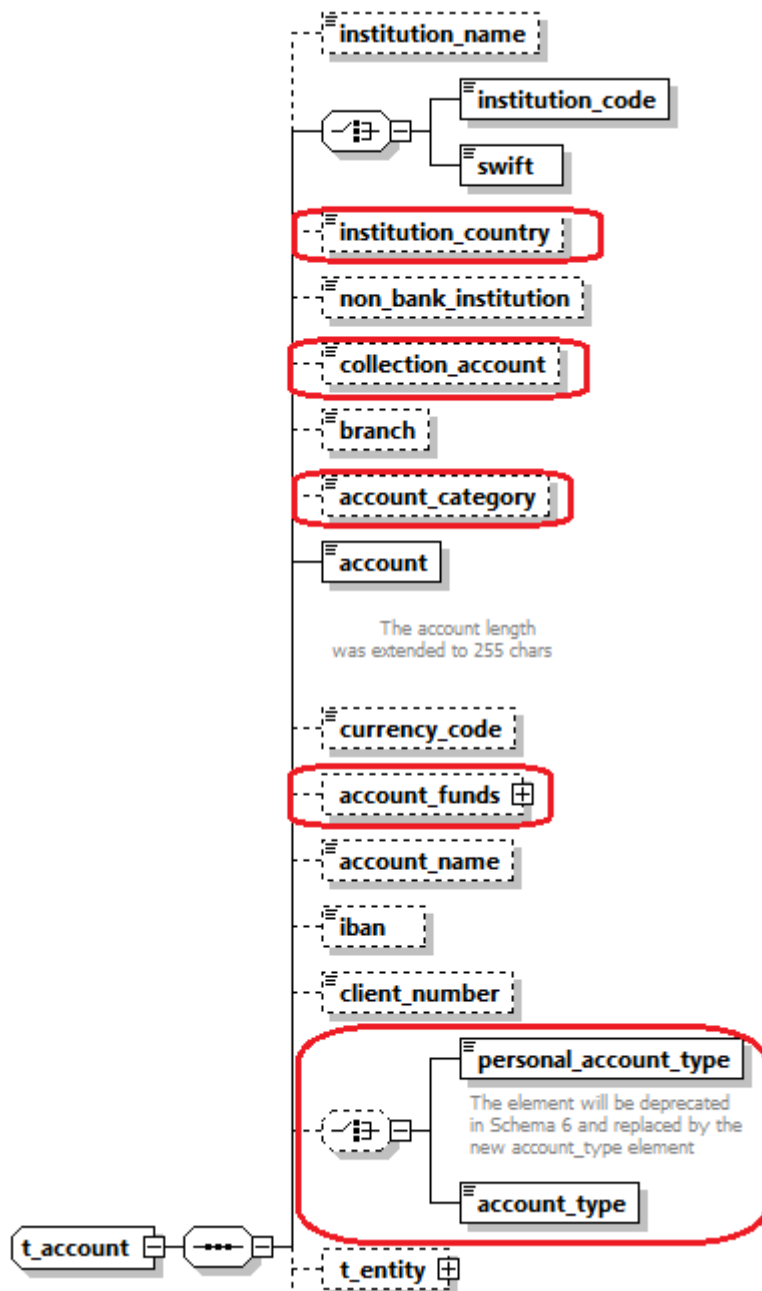
Name	Description	Length	Req.	Example
institution_name	The name of the Bank	255	Y	Bank of ...
	institution_code	Institution code	50	Y (one of them)
	swift	SWIFT code according to ISO 9362	11	ATTBVI
institution_country	The country of the reported bank especially relevant for foreign banks	Enumeration	N	See 5.14 Country Codes
non_banking_institution	A flag to cover cases where the account belongs to non-banking institution	Boolean	N	Investment company
collection_account	Indicate that the reported account is a collection account and is not owned really by the reported subject (such as for clients' accounts)	Boolean	N	True/False
branch	Branch code or name	255	N	ABX12
account_category	Allow different presentations of the Account element	Enumeration	N	See 5.20 Account Category Type
account	Account number. Enlarged and can host now as well IBAN, Wallet, Virtual Address, etc.	255	Y	31032027088
currency_code	Currency the account is kept in	Enumeration	Y	See 5.13 Currencies
account_funds	Allows capturing different balances held for the same account. For example: In one account, the SP would include more than one crypto currency. The account with UID 1234 would hold a €1000 balance which is broken up in different currencies such as Ethereum and Bitcoin which can be included separately using this subnode.	SubNode	N	See 4.1.7 Type Account Funds

account_name		Free text field used to “Label” the account, example a saving book account with anonymous owner, or an Entity account dedicated to Invoices, etc.	255	Y	Private savings account
iban		IBAN	34	N	LT601010012345678901
client_number		Client number	30	N	31032027088
}	personal_account_type	Account Type. Will be deprecated in the next schema	Enumeration	N	See 5.3 Account Type
	account_type	Same as Account Type, just better name since the type is the same for private or business accounts	Enumeration	N	
t_entity		Business entity owning the account	Type t_entity	N	See 4.2.2 Type t_entity
related_entities		A new one to many relations to allow Reporting Entities to report several entities having some kind of relation to an account in addition to the current Entity owning the account node.	Subnode	N	See 4.2.4 Type Entity Related Entities
}	signatory	Person(s) with access to the account.	Subnode * the node t_person is of type t_person_my_client	Y (One of them)	4.1.3 Type “Signatory”
	related_persons	New Choice was introduced to allow deprecating the current root level Signatory node and replace it with cover node related_persons with multiple occurrences of single related_person element.	Subnode		See 4.1.4 Type Account Related Persons
related_accounts		A new one to many relations to allow Reporting Entities to report several accounts as related to the main reported account.	SubNode	N	See 4.1.5 Type Account Related Accounts

opened	Date account opened	Date/Time	Y	2003-01-25T00:00:00
closed	Date account closed	Date/Time	N	2006-03-25T00:00:00
balance	The account balance after the transaction was conducted.	Decimal	Y	5000.50
date_balance	A date to specify the date of the reported balance. Application will show balance history	Date/Time	N	2006-03-25T00:00:00
status_code	Account status when transaction was initiated	Enumeration	Y	See 5.4 Account Status Type
status_date	A date to specify the date of the current account status as at date of report. For example: if account was opened on 01/01/2023 but was then blocked on 01/08/2024, and the reporting entity is submitting a suspicious report on 02/08/2024, the status date should be 01/08/2024.	Date/Time	N	2006-03-25T00:00:00
beneficiary	Ultimate beneficiary of the account	50	N	Ella Machera
beneficiary_comment	Any special remark on the beneficiary	255	N	
network_devices	supports multi occurrence of “network device”.	Subnode	N	See 4.9.1 Network Device Type
sanctions	supports multi occurrence of “sanction list”	Subnode	N	See 4.14 Sanctions Node
additional_information	A new optional generic node for adding any unplanned extra information. See dedicated section.	SubNode	N	See 4.13 Additional Information
comments	Generic comments elements	8000	N	

Table 12: Type t_account_my_client

4.1.2 Type t_account



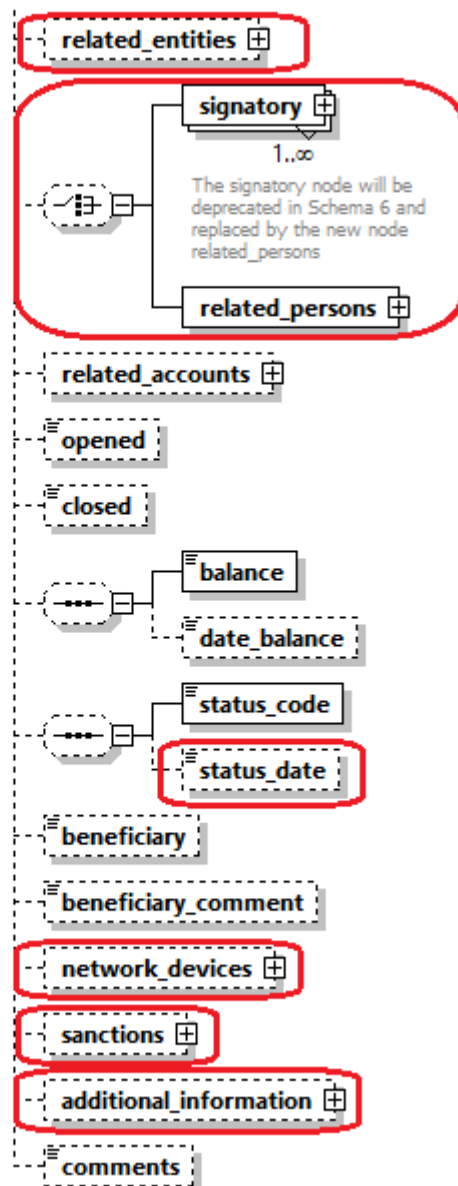



Figure 13: Overview type `t_account`

Name	Description	Length	Req.	Example
institution_name	The name of the Bank	255	Y	Bank of ...
	institution_code	Institution code	50	Y (one of them)
	swift	SWIFT code according to ISO 9362	11	ATTBVI
institution_country	The country of the reported bank especially relevant for foreign banks	Enumeration	N	IT
non_banking_institution	A flag to cover cases where the account belongs to non-banking institution	Boolean	N	Investment company
collection_account	Indicate that the reported account is a collection account and is not owned really by the reported subject (such as for clients' accounts)	Boolean	N	True/False
branch	Branch code or name	255	N	ABX12
account_category	Allow different presentations of the Account element	Enumeration	N	See 5.20 Account Category Type
account	Account number. Enlarged and can host now as well IBAN, Wallet, Virtual Address, etc.	255	Y	31032027088
currency_code	Currency the account is kept in	Enumeration	N	See 5.13 Currencies
account_funds	Allows capturing different balances held for the same account. For example: In one account, the SP would include more than one crypto currency. The account with UID 1234 would hold a €1000 balance which is broken up in different currencies such as Ethereum and	SubNode	N	See 4.1.7 Type Account Funds

	Bitcoin which can be included separately using this subnode.			
account_name	Free text field used to “Label” the account, example a saving book account with anonymous owner, or an Entity account dedicated to Invoices, etc.	255	N	Private savings account
iban	IBAN	34	N	LT601010012345678901
client_number	Client number	30	N	31032027088
}	personal_account_type	Account Type Will be deprecated in the next schema	Enumeration	N See 5.3 Account Type
	account_type	Same as Account Type, jus better name since the type is the same for private of business accounts	Enumeration	N 5.3 Account Type
t_entity	Business entity owning the account	Type t_entity	N	See 4.2.2 Type t_entity
related_entities	A new one to many relations to allow Reporting Entities to report several entities having some kind of relation to an account in addition to the current Entity owning the account node.	Subnode	N	See 4.2.4 Type Entity Related Entities
}	signatory	Person(s) with access to the account.	Subnode * the node t_person is of type t_person_my_client	N See 4.1.3 Type “Signatory”
	related_persons	New Choice was introduced to allow deprecating the current root level Signatory node and replace it with cover node related_persons with multiple occurrences of single related_person element.	Subnode	N See 4.1.4 Type Account Related Persons

related_accounts	A new one to many relations to allow Reporting Entities to report several accounts as related to the main reported account.	SubNode	N	See 4.1.4 Type Account Related Persons
opened	Date account opened	Date/Time	N	2003-01-25T00:00:00
closed	Date account closed	Date/Time	N	2006-03-25T00:00:00
balance	The account balance after the transaction was conducted.	Decimal	N	5000.50
date_balance	A date to specify the date of the reported balance. Application will show balance history	Date/Time	N	2006-03-25T00:00:00
status_code	Account status when transaction was initiated	Enumeration	N	See 5.4 Account Status Type
status_date	A date to specify the date of the current account status as at date of report. For example: if account was opened on 01/01/2023 but was then blocked on 01/08/2024, and the reporting entity is submitting a suspicious report on 02/08/2024, the status date should be 01/08/2024.	Date/Time	N	2006-03-25T00:00:00
beneficiary	Ultimate beneficiary of the account	50	N	Ella Machera
beneficiary_comment	Any special remark on the beneficiary	255	N	
network_devices	supports multi occurrence of "network device".	Subnode	N	See 4.9.1 Network Device Type
sanctions	supports multi occurrence of "sanction list"	Subnode	N	See 4.14 Sanctions Node
additional_information	A new optional generic node for adding any unplanned extra information. See dedicated section.	SubNode	N	See 4.13 Additional Information
comments	Generic comments elements	8000	N	

Table 13: Type t_account

4.1.3 Type “Signatory”

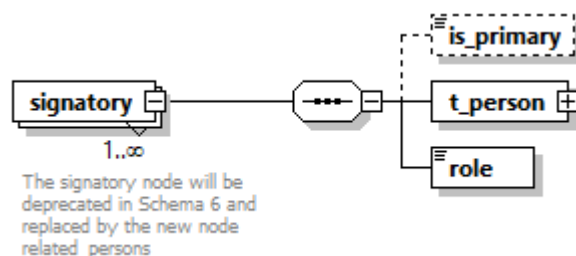


Figure 14: Overview Type Signatory

Name	Description	Length	Req.	Example
is_primary	Identifies the primary account holder. Only one signatory may be marked as <i>is_primary</i> . Has to be 'true' when node is set.	fixed = 1	N	
t_person	Subnode holding detailed information about the signatory. Mandatory for signatories in the XML report.	Type t_person_my_client	Y	See 4.3.1 Type t_person_my_client
role	Subnode holding enumeration about the role of current signatory with the account report.	Type account_person_role_type	Y	See 4.1.1 Type t_account_my_client

Table 14: Details Type Signatory

4.1.4 Type Account Related Persons

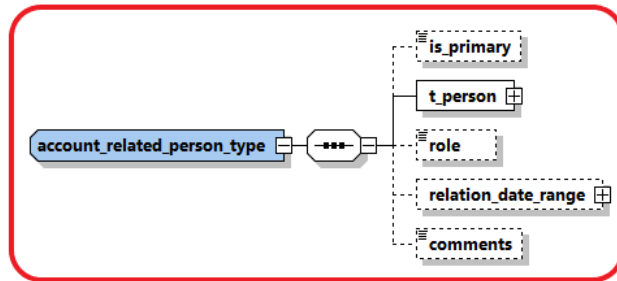


Figure 15: Overview Type Account Related Persons

Name	Description	Length	Req.	Example
is_primary	Identifies the primary account holder. Only one signatory may be marked as <i>is_primary</i> . Has to be 'true' when node is set.	fixed = 1	N	
t_person	Subnode holding detailed info on signatory. Mandatory for signatories in the XML report.	Type t_person	Y	See 4.3.2 Type t_person
role	Subnode holding enumeration on role of current signatory with account report.	Type account_person_role_type	N	See 5.15 Account Person Role Type
relation_date_range	Subnode to describe the date range relation	Type relation_data_range_type	N	See 4.10 Relation Date Range Type
comments	Generic Comments	8000	N	

Table 15: Type Account Related Persons

4.1.5 Type Account Related Accounts

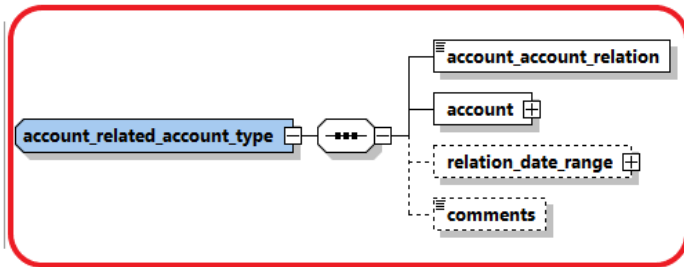


Figure 16: Overview Type Account Related Accounts

Name	Description	Length	Req.	Example
account_account_relation	Describe the relation between the related two accounts	Enumeration	Y	
account	Subnode holding detailed information about the Related Account.	Type t_account	Y	See 4.1.2 Type t_account
relation_date_range	Subnode to describe the date range relation	Type relation_data_range_type	N	See 4.10 Relation Date Range Type
comments	Generic Comments	8000	N	

Table 16: Type Account Related Accounts

4.1.6 Type Account Related Entity

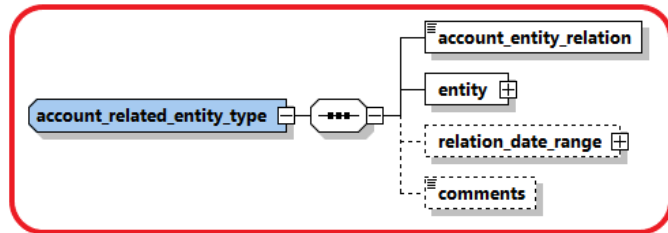


Figure 17: Type Account Related Entity

Name	Description	Length	Req.	Example
account_entity_relation	Describe the relation between the account and related entity	enumeration	Y	
entity	Subnode holding detailed information about the related entity.	Type t_entity	Y	See 4.2.2 Type t_entity
relation_date_range	Subnode to describe the date range relation	Type relation_data_range_type	N	See 4.10 Relation Date Range Type
comments	Generic Comments	8000	N	

Table 17: Type Account Related Entity

4.1.7 Type Account Funds

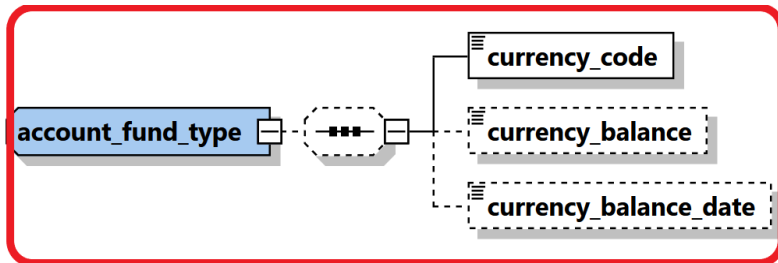


Figure 18: Overview Type Account Funds

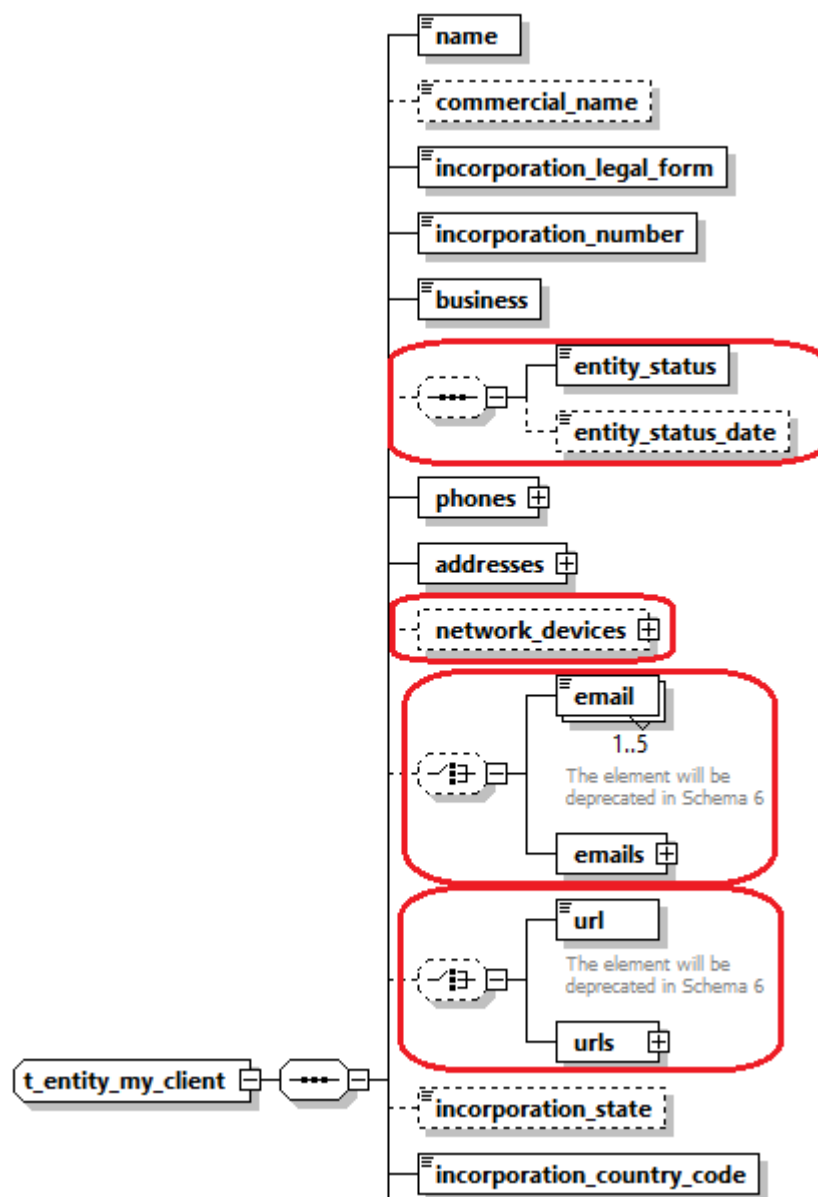
Name	Description	Length	Req.
currency_code	Describe the currency of the funds	enumeration	Y
currency_balance	Balance of the funds.	Decimal	N
currency_balance_date	Balance of the funds.	Date/Time"	N

Table 18: Type Account Funds

4.2 Type `t_entity_my_client` / `t_entity`

The structure of these 2 types is exactly the same, it is introduced to add more restrictions when the entity is hosted in the reporting entity in comparison to an entity which is the customer of another RE. i.e., some nodes which are not mandatory in `t_entity` can be set mandatory in `t_entity_my_client`. These restrictions are defined by the local FIU.

4.2.1 Type `t_entity_my_client`



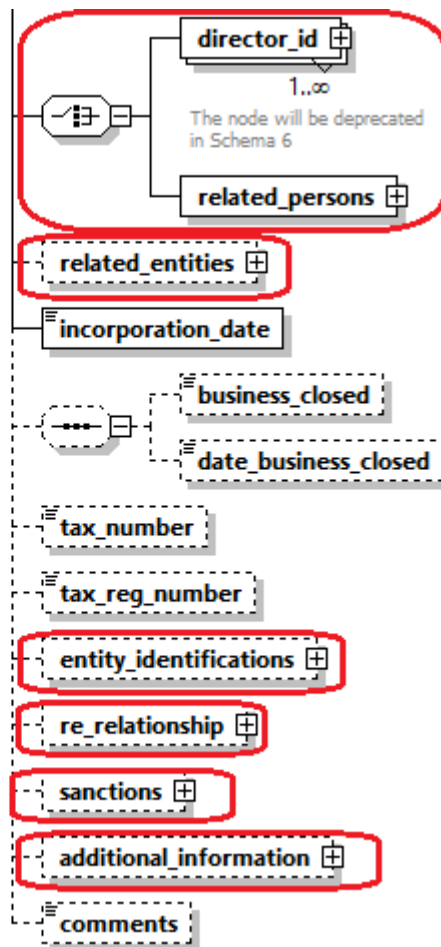


Figure 19: Overview type `t_entity_my_client`

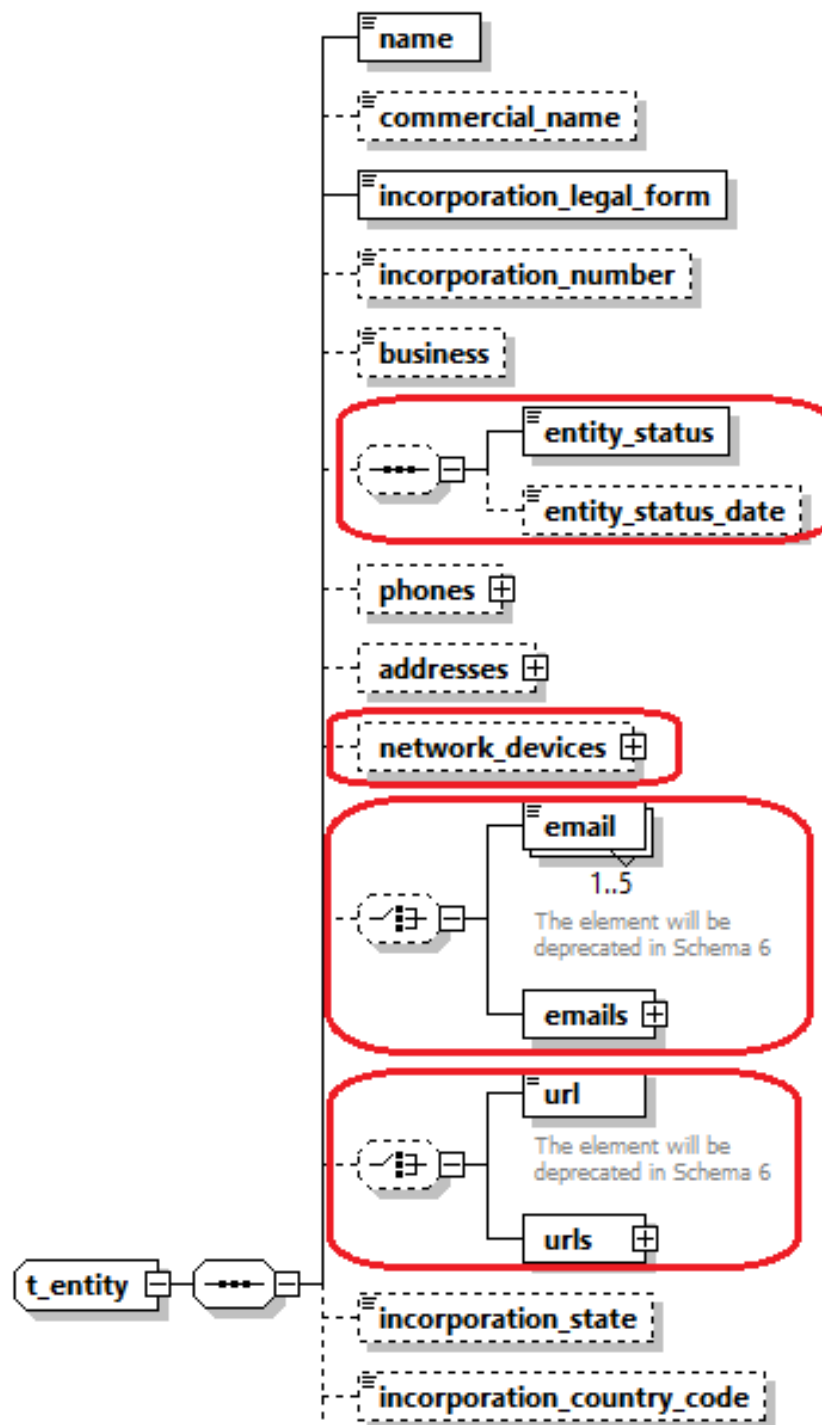
Name	Description	Length	Req.	Example
name	Name of Entity	255	Y	DoeComp
commercial_name	The “traded as” name of the entity	255	N	
incorporation_legal_form	The legal form of the entity	Type legal_form_type	Y	See 5.11 Entity Legal Form Type: Ltd., GmbH,
incorporation_number	The registration number of the entity/"company" in the relevant authority (e.g. Chamber of Commerce)	50	Y	
business	Business area of the entity	255	Y	Free text describing business e.g., IT Services, Imports, Export etc.
entity_status	describing the status of the entity	Enumeration	N	See 5.26 Entity Status
entity_status_date	describing the date of entity status	Date/Time	N	
phones	A Holder node for a 1-to-many Phones		Y	
phone	One occurrence of phone node	Type “t_phone”	Y (When “Phones” is provided)	See 4.7 Type t_phone
addresses	A Holder node for a 1-to-many addresses		Y	
address	One occurrence of address node	Type “t_address”	Y (When “Addresses” is provided)	See 4.6 Type t_address
network_devices	supports multi occurrence of “network device”.	Subnode	N	See 4.9.1 Network Device Type

email	Email address	email_address (255)	N	test@mail.com
emails	supports several emails for the reported entity in comparison with currently one email	email_address (255)	N	
url	Entity web address	255	N	www.entity.com
urls	supports several urls for the reported entity in comparison with currently one url	255	N	
incorporation_state	Name of the State	255	N	
incorporation_country_code	Country	Enumeration	Y	See Country Codes
director_id	Individuals authorised (Subnode can be repeated for multiple persons)	type t_person	N	See 4.3.2 t_person/4.3.1 t_person_my_client
related_persons	Parent node for multiple related_persons. Director_id will be deprecated in future schemas	subnode	N	See 4.2.2 Type Entity Related Persons
related_entities	Supports creating relations between different entities	Subnode	N	See 4.2.4 Type Entity Related Entities
incorporation_date	Incorporation registration date	Date	N	
business_closed	Boolean to indicate if the company is closed down	Boolean	N	
date_business_closed	If entity is closed then specify close date if any.	Date	N	
tax_number	The entity tax number	100	N	

tax_registration_number	Registration number of the entity by the Tax auth.	100	N	
entity_identifications	Similar to Person Identifications, a repeated sub node for Entity identification numbers was introduced.	Subnode	N	See 4.2.4 Entity Identifications
business_relationship	host the starting and dates of the relationship between the Reporting Entity and the Entity	Subnode	N	See 4.12 Business Relationship
sanctions	supports multi occurrence of "sanction list".	Subnode	N	See 4.13 Sanctions Node
additional_information	A new optional generic node for adding any unplanned extra information.	SubNode	N	See 4.13 Additional Information
Comments	Generic comments field	8000	N	

Table 19: Details type *t_entity_my_client*

4.2.2 Type t_entity



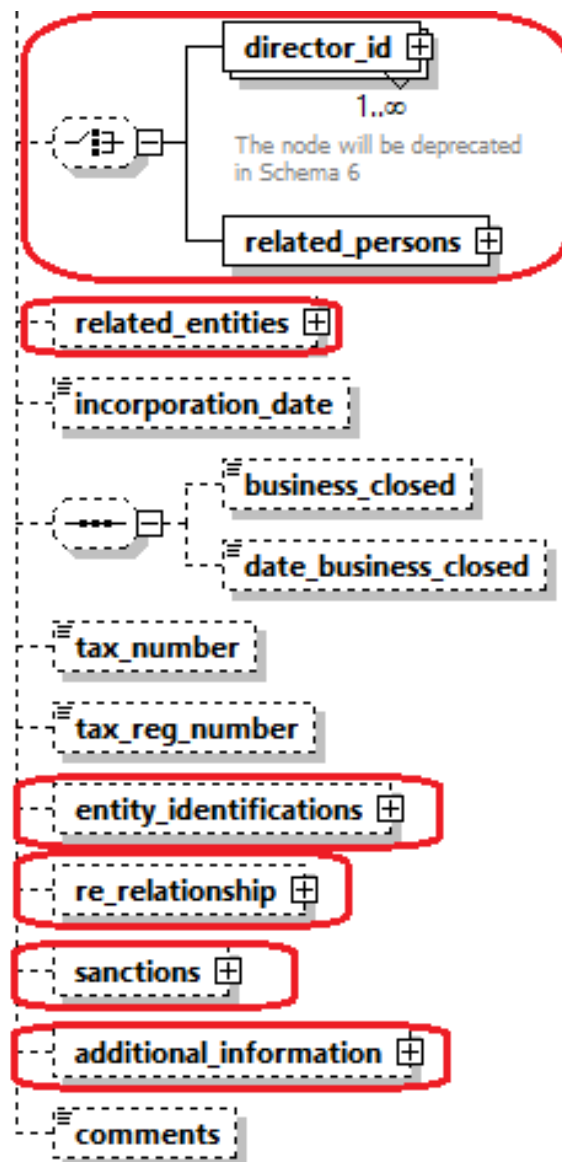


Figure 20: Type `t_entity`

Name	Description	Length	Req.	Example
name	Name of Entity	255	Y	DoeComp
commercial_name	The “traded as” name of the entity	255	N	
incorporation_legal_form	The legal form of the entity	Type legal_form_type	Y	See 5.11: Entity Legal Form Type Ltd., GmbH,
incorporation_number	The registration number of the entity/"company" in the relevant authority (e.g. Chamber of Commerce)	50	N	
business	Business area of the entity	255	N	Free text describing business e.g., IT Services, Imports, Export etc.
entity_status	describing the status of the entity	Enumeration	N	See 5.26 Entity Status
entity_status_date	describing the date of entity status	Date/Time	N	
phones	A Holder node for a 1-to-many Phones		N	
phone	One occurrence of phone node	Type “t_phone”	Y (When “Phones” is provided)	See 4.7 Type t_phone
addresses	A Holder node for a 1-to-many addresses		N	
address	One occurrence of address node	Type “t_address”	Y (When “Addresses” is provided)	See <i>Type t_address</i>
network_devices	supports multi occurrence of “network device”.	Subnode	N	See 4.9.1 Network Device Type

email	Email address	email_address (255)	N	test@mail.com
emails	supports several emails for the reported entity in comparison with currently one email	email_address (255)	N	
url	Entity web address	255	N	www.entity.com
urls	supports several urls for the reported entity in comparison with currently one url	255	N	
Incorporation_state	Name of the State	255	N	
incorporation_country_code	Country	Enumeration	N	See 5.14 Country Codes
director_id	Individuals authorised (Subnode can be repeated for multiple persons)	type t_person	N	See 4.3.1 Type t_person_my_client/4.3.2 Type t_person
related_persons	Parent node for multiple related_persons. Director_id will be deprecated in future schemas	subnode	N	See 4.2.3 Type Entity Related Persons
related_entities	Supports creating relations between different entities	Subnode	N	See 4.2.4 Type Entity Related Entities
incorporation_date	Incorporation registration date	Date	N	
business_closed	Boolean to indicate if the company is closed down	Boolean	N	
date_business_closed	If entity is closed then specify close date if any.	Date	N	
tax_number	The entity tax number	100	N	

tax_registration_number	Registration number of the entity by the Tax auth.	100	N	
entity_identifications	Similar to Person Identifications, a repeated sub node for Entity identification numbers was introduced.	Subnode	N	See 4.2.5 Entity Identifications
business_relationship	host the starting and dates of the relationship between the Reporting Entity and the Entity	Subnode	N	See 4.12 Business Relationship
sanctions	supports multi occurrence of "sanction list".	Subnode	N	See 4.14 Sanctions Node
additional_information	A new optional generic node for adding any unplanned extra information.	SubNode	N	See 4.13 Additional Information
comments	Generic comments field	8000	N	

Table 20: Type *t_entity*

4.2.3 Type Entity Related Persons

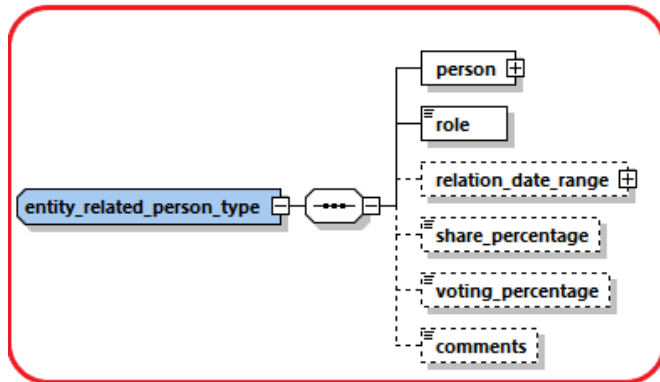


Figure 21: Overview Type Entity Related Persons

Name	Description	Length	Req.	Example
person	Subnode holding detailed information about the signatory.	Type t_person	Y	See 4.3.2 Type t_person
role	Subnode holding enumeration about the role of current signatory in the entity	Type entity_person_role_type	N	See 5.16 Entity Person Role Type
relation_date_range	Subnode to describe the date range relation	Type relation_data_range_type	N	See 4.10 Relation Date Range Type
share_percentage	Value of shares owned by the related person – if any.	Decimal 0>>100	N	
voting_percentage	Value of voting rights owned by the related person – if any	Decimal 0>>100	N	
comments	Generic Comments	8000	N	

Table 21: Type Entity Related Persons

4.2.4 Type Entity Related Entities

Introduced in goAML Release 5.0 to allow reporting relations between different entities. The relation type should describe always how the reported entity is related to the other related entities.

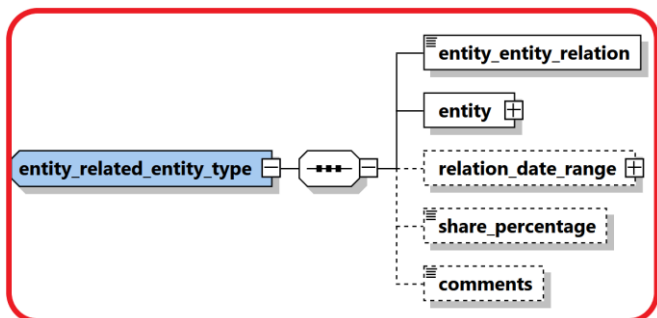


Figure 22: Overview Type Entity Related Entities

Name	Description	Length	Req.	Example
entity_entity_relation	Describe the relation between the two and related entities.	enumeration	Y	See 5.17 Entity-Entity Relation Type
entity	Subnode holding detailed information about the related entity.	Type t_entity	Y	See 4.2.2 Type t_entity
relation_date_range	Subnode to describe the date range relation	Type relation_data_range_type	N	See 4.10 Relation Date Range Type
share_percentage	Describes the percentage of shares held between the two entities, relation type determines who owns the shares.	Decimal 0>>100	N	
comments	Generic Comments	8000	N	

Table 22: Type Entity Related Entities

4.2.5 Entity Identifications

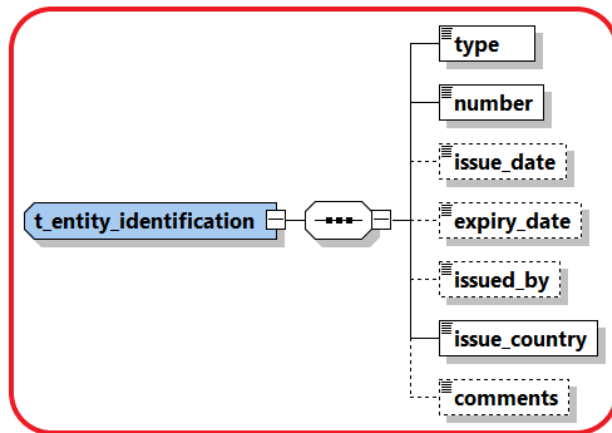


Figure 23: Overview Entity Identifications

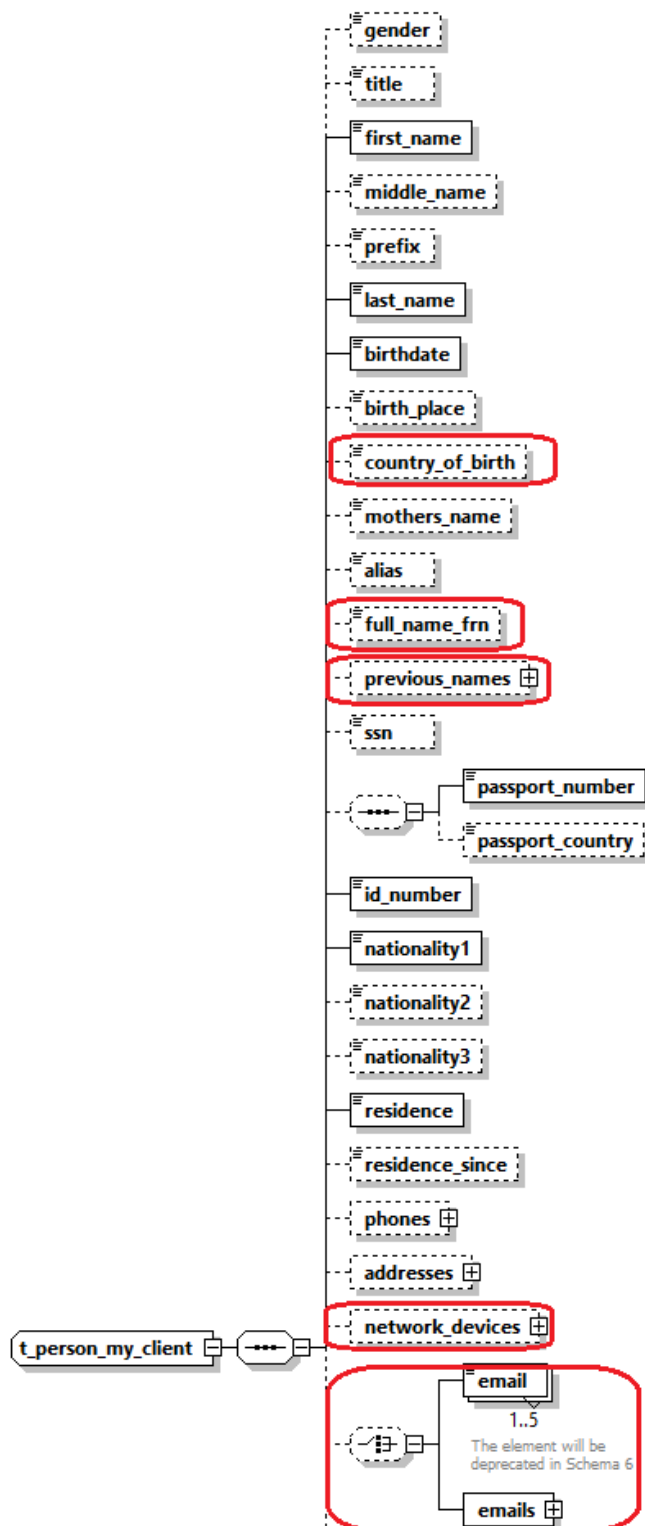
Name	Description	Length	Req.	Example
type	Document type	Enumeration	Y	See 5.5 Identifier
number	ID of the identification document	255	Y	AT08154711
issue_date	Identification document issue date	Date/Time	N	2001-12-17T09:30:47
expiry_date	Identification document expiry date	Date/Time	N	2012-01-01T00:00:00
issued_by	Name of Authority issued the document	255	N	Interior Ministry
issue_country	Country where the document was issued	Enumeration	Y	See 5.14 Country Codes
comments	Generic comments field	8000	N	

Table 23: Details Entity Identifications

4.3 Type `t_person_my_client` / `t_person`

The structure of these 2 types is exactly the same, it is introduced to add more restrictions when the person is a customer of the reporting entity in comparison to a person which is the customer of another RE. i.e., some nodes which are not mandatory in `t_person` can be set mandatory in `t_person_my_client`. The local FIU defines these restrictions.

4.3.1 Type `t_person_my_client`



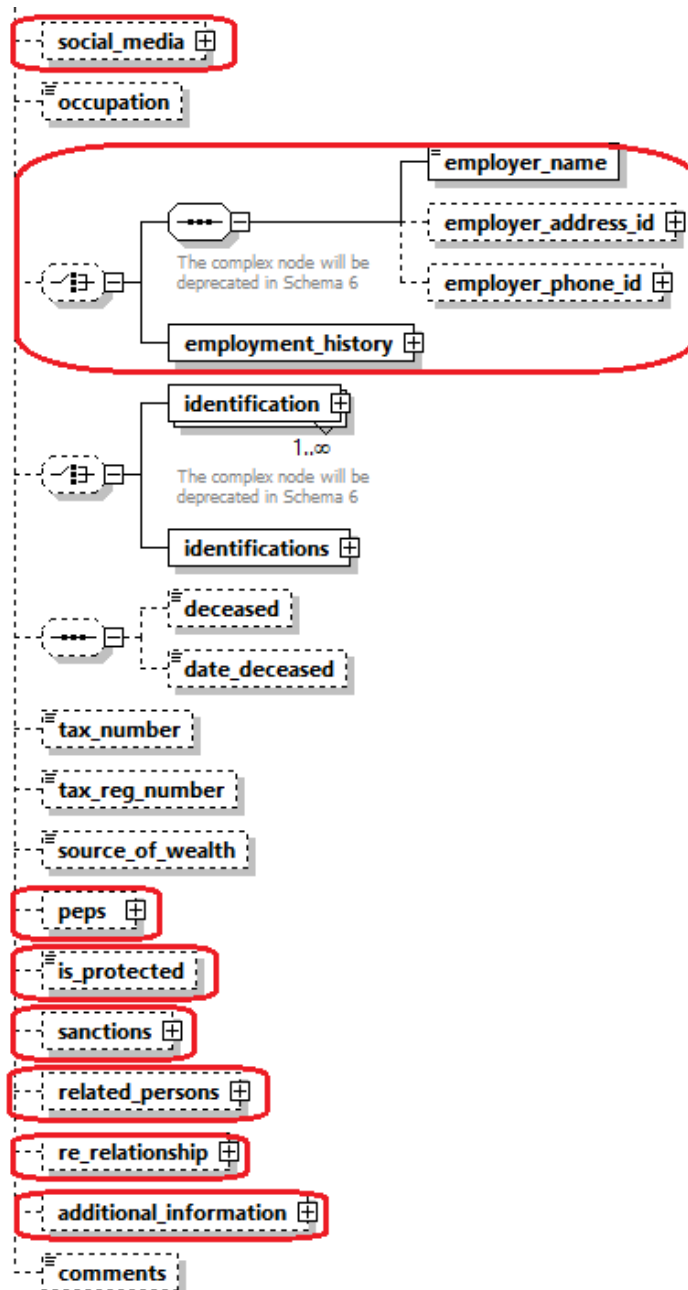


Figure 24: Overview type `t_person_my_client`

Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	See 5.27 Gender
title	Title	30	N	Dr.
first_name	First name	100	Y	Elias
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Maschera
birthdate	Birth date	Date/Time	Y	1953-01-25T00:00:00
birth_place	Place of birth	255	N	Vienna
country_of_birth	Country of Birth	Enumeration	N	MT
mothers_name	Can be used as father, mother, second name, other name, etc. as per country's regulation	100	N	Smith
alias	Alias Name, Known as, ..etc.	100	N	
full_name_frn	Foreign language Full name.	255	N	
previous_names	multi-occurrence node which will allow reporting a list of previous names for the person.	Sub node	N	See 4.3.3 Type Previous Names
ssn	Social Security Number	25	N	National ID number
passport_number	No. of passport	25	N	Passport num to be used as unification rule
passport_country	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule

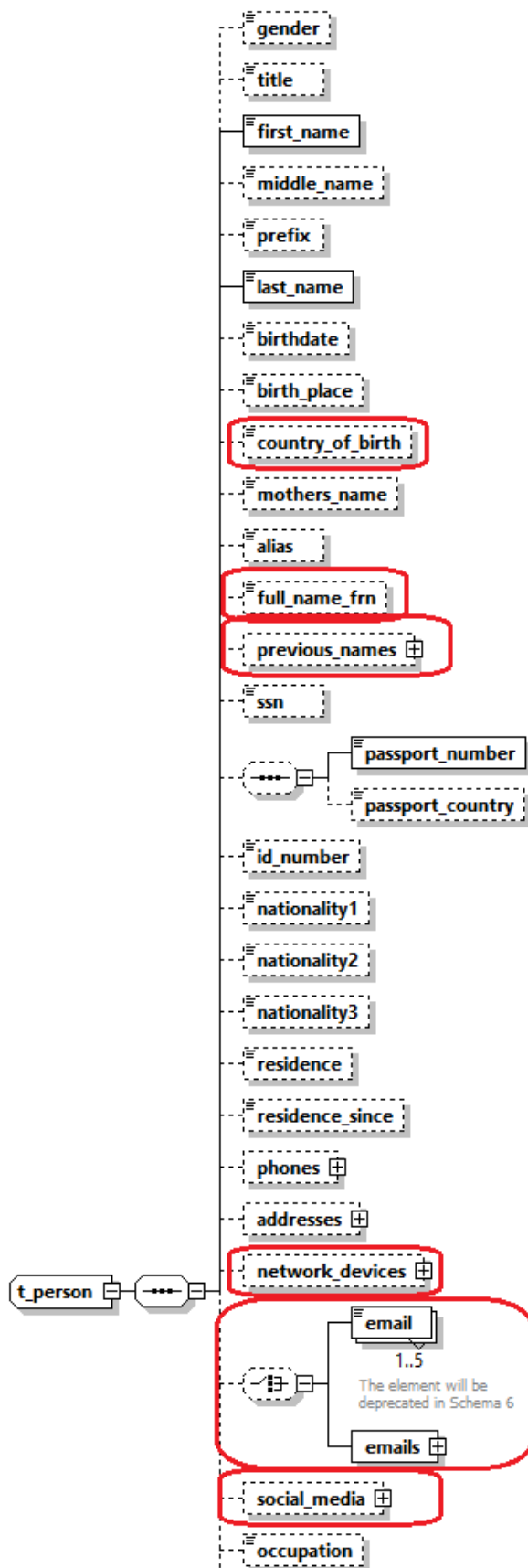
id_number		Any additional identification number rather than ssn and passport		25	N	Additional identification number	
nationality1		Country of Nationality (1)		Enumeration	Y	See 5.14 Country Codes	
nationality2		Country of Nationality (2)		Enumeration	N	See 5.14 Country Codes	
nationality3		Country of Nationality (3)		Enumeration	N	See 5.14 Country Codes	
residence		Country of residence		Enumeration	Y	See 5.14 Country Codes	
residence_since		when the person has a resident status in the relevant country		Date/Time	N		
phones		A Holder node for a 1-to-many Phones			N		
	phone	One occurrence of phone node		Type t_phone	Y	See 4.7 Type t_phone	
addresses		A Holder node for a 1..many Addresses			N		
	address	One occurrence of address node		Type t_address	Y	See 4.6 Type t_address	
network_devices		supports multi occurrence of “network device”.		Subnode	N	See 4.9.1 Network Device Type	
}	email	Email address		email_address (255)	N	test@mail.com	
	emails	supports several emails for the reported person in comparison with currently fix 5 emails		email_address (255)	N		
social_media		optional 1-many relations to report various social accounts		Subnode	N	See 4.3.4 Type Social Account	
occupation		Occupation		255	N	Financial Analyst	

	employer_name	Employer's name	255	N	FIU
{	employer_address_id	Employer's address	type t_address	N	See 4.6 Type t_address
	employer_phone_id	Employer's phone	type t_phone	N	See 4.7 Type t_phone
	employment_history	Supports many occurrences of employment information. Previous employment elements will be deprecated in future schemas	Subnode	N	See 4.3.5 Type Employment
{	identification	Subnode(s) for identification documents	subnode	N	See 4.3.6 Type t_person_identification
	Identifications	Allows deprecating the current repeated root level Identification node with a parent cover node Identifications with many occurrences of single Identification element	subnode	N	See 4.3.6 Type t_person_identification
deceased	A Boolean to indicated if person has passed away		Boolean	N	
deceased_date	If deceased, then RE can report deceased date if known as well		Date	N	
tax_number	The person tax number		100	N	
tax_registration_number	The person tax reg. number by tax auth.		100	N	
source_of_wealth	Free text description of the person source of wealth		255	N	

peps	REs will be able to indicate if the reported person is a Politically Exposed Person with few additional attributes	Subnode	N	See 4.3.7 Type PEPS
is_protected	can be used for example to avoid disseminating protected subjects – goAML will just maintain the field.	Boolean	N	True/False
sanctions	supports multi occurrence of “sanction list”	Subnode	N	See 4.14 Sanctions Node
related_persons	An optional multiple relation sub node between a reported person and his/her social network.	Subnode	N	See 4.3.8 Type Person Related Persons
business_relationship	host the starting and dates of the relationship between the Reporting Entity and the Person	Subnode	N	See 4.12 Business Relationship
additional_information	A new optional generic node for adding any unplanned extra information.	Subnode	N	See 4.13 Additional Information
comments	Generic comments field	8000	N	

Table 24: Details type t_person

4.3.2 Type t_person



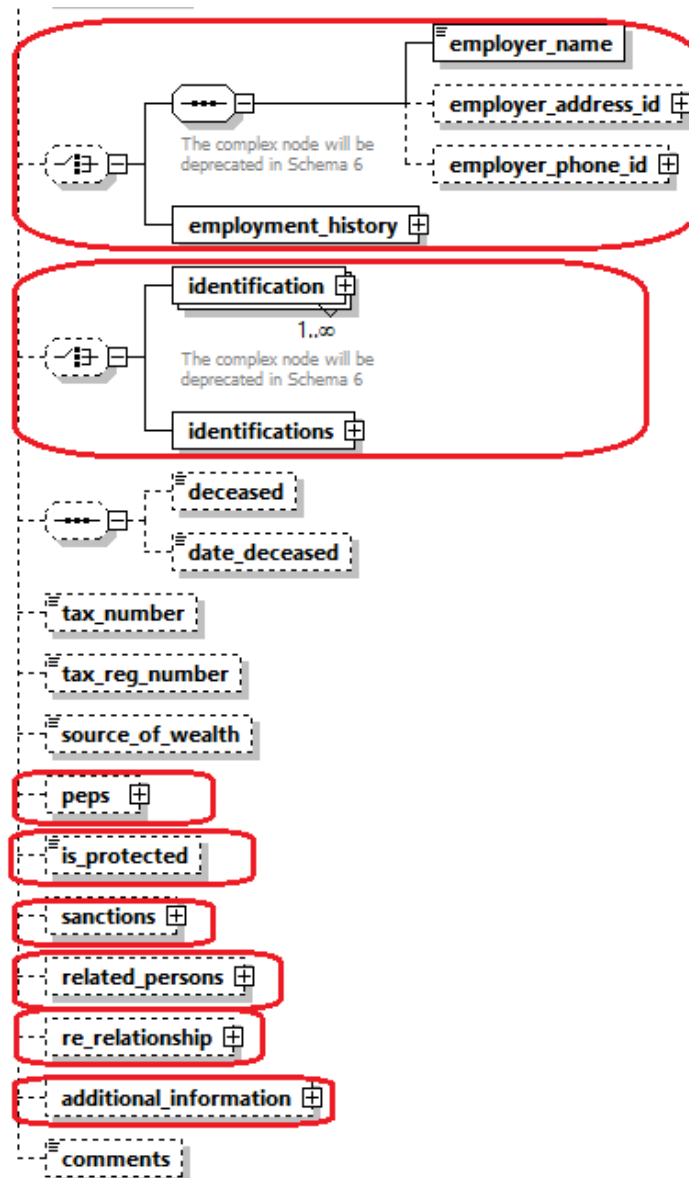


Figure 25: Type `t_person`

Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	See 5.27 Gender
title	Title	30	N	Dr.
first_name	First name	100	Y	Elias
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Maschera
birthdate	Birth date	Date/Time	N	1953-01-25T00:00:00
birth_place	Place of birth	255	N	Vienna
country_of_birth	Country of Birth	Enumeration	N	MT
mothers_name	Can be used as father, mother, second name, other name, etc. as per country's regulation	100	N	Smith
alias	Alias Name, Known as, ..etc.	100	N	
full_name_frn	Foreign language Full name.	255	N	
previous_names	multi-occurrence node which will allow reporting a list of previous names for the person.	Sub node	N	See 4.3.3 Type Previous Names
ssn	Social Security Number	25	N	National ID number
passport_number	No. of passport	25	Y	Passport num to be used as unification rule
passport_country	Passport issue country (Can be reported only when there is a passport number)	25	Y	Passport country to be used as unification rule

id_number		Any additional identification number rather than SSN and passport		25	N	Additional identification number	
nationality1		Country of Nationality (1)		Enumeration	N	See 5.14 Country Codes	
nationality2		Country of Nationality (2)		Enumeration	N	See 5.14 Country Codes	
nationality3		Country of Nationality (3)		Enumeration	N	See 5.14 Country Codes	
residence		Country of residence		Enumeration	N	See 5.14 Country Codes	
residence_since		when the person has a resident status in the relevant country		Date/Time	N		
phones		A Holder node for a 1-to-many Phones			N		
	phone	One occurrence of phone node		Type t_phone	Y	See 4.7 Type t_phone	
addresses		A Holder node for a 1..many Addresses			N		
	address	One occurrence of address node		Type t_address	Y	See 4.6 Type t_address	
network_devices		supports multi occurrence of “network device”.		Subnode	N	See 4.9.1 Network Device Type	
}	email	Email address		email_address (255)	N	test@mail.com	
	emails	supports several emails for the reported person in comparison with currently fix 5 emails		email_address (255)	N		
social_media		optional 1-many relations to report various social accounts		Subnode	N	See 4.3.4 Type Social Account	
occupation		Occupation		255	N	Financial Analyst	

	employer_name	Employer's name	255	N	FIU
{	employer_address_id	Employer's address	type t_address	N	See 4.6 Type t_address
	employer_phone_id	Employer's phone	type t_phone	N	See 4.7 Type t_phone
	employment_history	Supports many occurrences of employment information. Previous employment elements will be deprecated in future schemas	Subnode	N	See 4.3.5 Type Employment
{	identification	Subnode(s) for identification documents	subnode	N	See 4.3.6 Type t_person_identification
	Identifications	Allows deprecating the current repeated root level Identification node with a parent cover node Identifications with many occurrences of single Identification element	subnode	N	See 4.3.6 Type t_person_identification
deceased	A Boolean to indicated if person has passed away		Boolean	N	
deceased_date	If deceased, then RE can report deceased date if known as well		Date	N	
tax_number	The person tax number		100	N	
tax_registration_number	The person tax reg. number by tax auth.		100	N	
source_of_wealth	Free text description of the person source of wealth		255	N	

peps	REs will be able to indicate if the reported person is a Politically Exposed Person with few additional attributes	Subnode	N	See 4.3.7 Type PEPS
is_protected	can be used for example to avoid disseminating protected subjects – goAML will just maintain the field.	Boolean	N	
sanctions	supports multi occurrence of “sanction list”	Subnode	N	See 4.14 Sanctions Node
related_persons	An optional multiple relation sub node between a reported person and his/her social network.	Subnode	N	See 4.3.8 Type Person Related Persons
business_relationship	host the starting and dates of the relationship between the Reporting Entity and the Person	Subnode	N	See 4.12 Business Relationship
additional_information	A new optional generic node for adding any unplanned extra information.	Subnode	N	See 4.13 Additional Information
comments	Generic comments field	8000	N	

Table 25: Type t_person

4.3.3 Type Previous Names

Introduced in schema 5.0.1, the type will be implemented in goAML Application 5.2. It will allow reporting a list of previous names for the person. Each Name contains First and Last Name in addition to a comments field to provide the context.

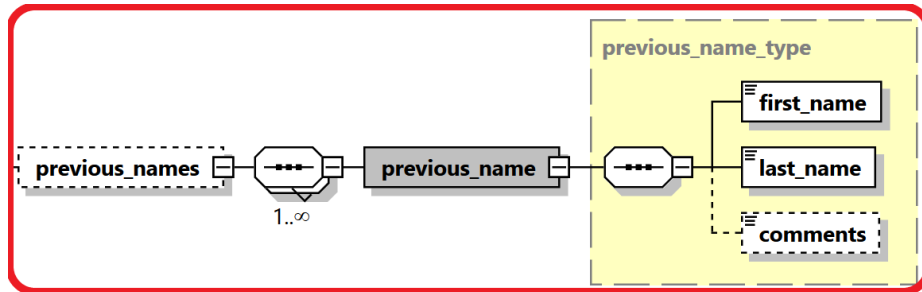


Figure 26: Overview Type Previous Names

Name	Description	Length	Req.
first_name	First Name	100	Y
last_name	Last Name	100	Y
comments	Generic Comments	Comments Type	N

Table 26: Type Previous Names

4.3.4 Type Social Account

Introduced in schema 5.0.1, the type will be implemented in goAML Application 5.2 so REs can report social media accounts of the reported person.

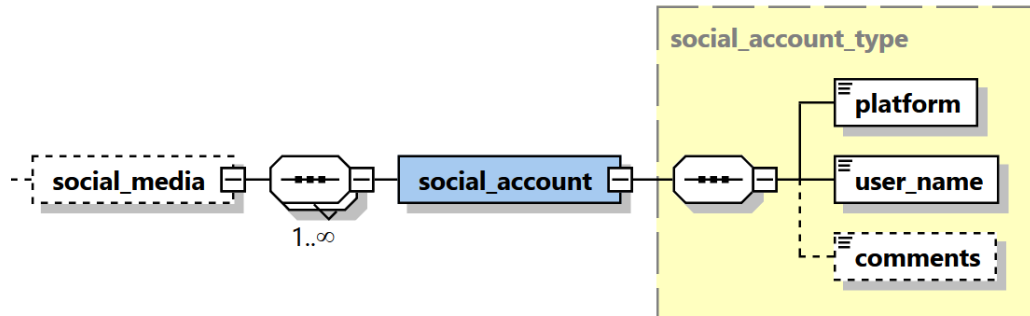


Figure 27: Type Social Account

Name	Description	Length	Req.
platform	Name of social media platform	255	Y
user_name	User Name in that social media platform	255	Y
comments	Description of the function held by the PEP.	Comments type	N

Table 27: Type Social Account

4.3.5 Type Employment

Introduced in schema 5.0.1, the type will be implemented in goAML Application 5.2 so REs can report many occurrences of employment information including employer name, business, identification, phones, addresses and employment date range. This single one set of employment elements will be deprecated in future Schema releases.

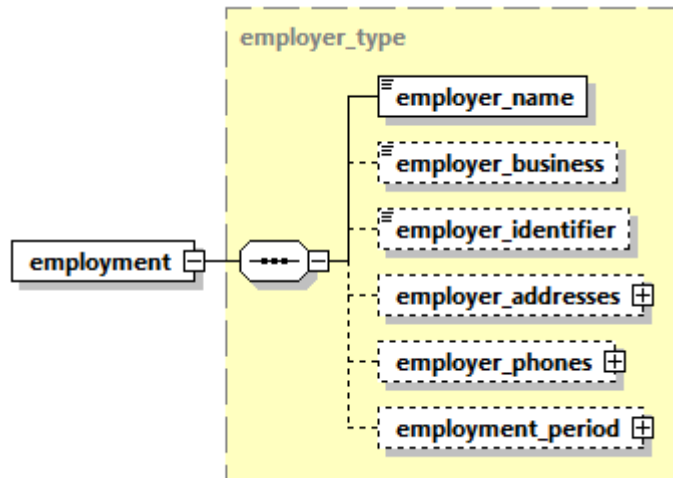


Figure 28: Overview Type Employment

Name	Description	Length	Req.	Example
employer_name	String	255	Y	Government of Malta
employer_business	String	255	N	Governmental
employer_identifier	String	255	N	C1234567890
employer_addresses	Collection of addresses (can contain more than 1 node of t_address)		N	See 4.6 Type t_address

employer_phones	Collection of phones (can contain more than 1 node of t_phones)		N	See 4.7 Type t_phone
employment_period	The time frame of the relation between the two persons.	Subnode	N	See 4.10 Relation Date Range Type

Table 28: Type Employment

4.3.6 Type t_person_identification

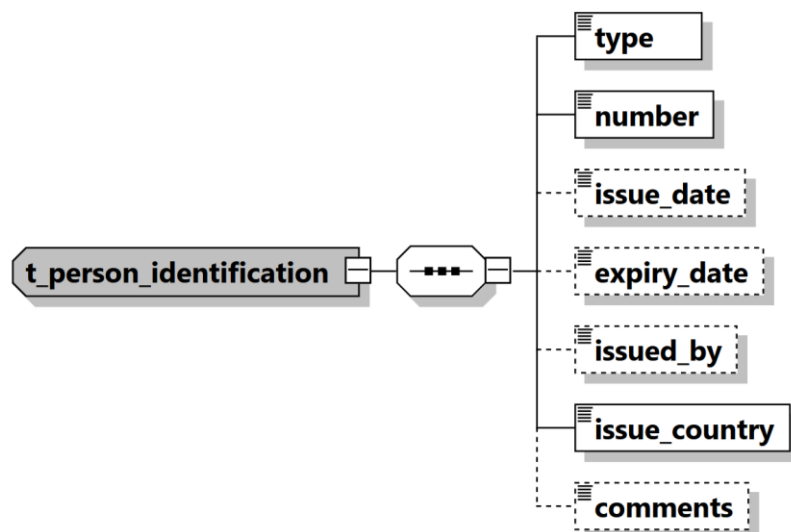


Figure 29: Overview type t_person_identification

Name	Description	Length	Req.	Example
Type	Document type	Enumeration	Y	See 5.5 Identifier
Number	ID of the identification document	255	Y	AT08154711

issue_date	Identification document issue date	Date/Time	N	2001-12-17T09:30:47
expiry_date	Identification document expiry date	Date/Time	N	2012-01-01T00:00:00
issued_by	Name of Authority issued the document	255	N	Interior Ministry
issue_country	Country where the document was issued	Enumeration	Y	See 5.14 Country Codes
comments	Generic comments field	8000	N	

Table 29: Type *t_person_identification*

4.3.7 Type PEPS

Introduced in schema 5.0.1, the type will be implemented in goAML Application 5.2 so REs can indicate if the reported person is/was a Politically Exposed Person with few additional attributes, like country, function name, function description, date range and general comments. Multiple occurrences of PEP info per person is also supported.

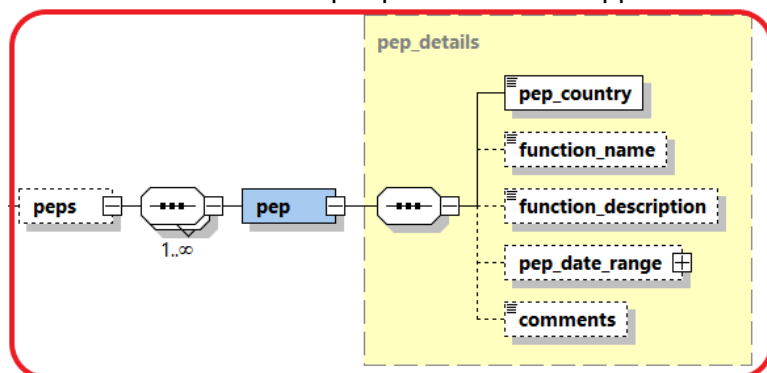


Figure 30: Overview type PEPS

Name	Description	Length	Req.	Example
pep_country	Name of the related country	Enumeration	Y	See 5.14 Country Codes

function_name	Name of the function held by the PEP.	255	N	
function_description	Description of the function held by the PEP.	8000	N	
pep_date_range	The time frame of the subject being PEP in this occurrence.	Subnode	N	See 4.10 Relation Date Range Type
comments	Generic Comments	Comments Type	N	

Table 30: Details type PEPs

4.3.8 Type Person Related Persons

Introduced in schema 5.0.1, the type will be implemented in goAML Application 5.2 so REs can report social network of the reported person.

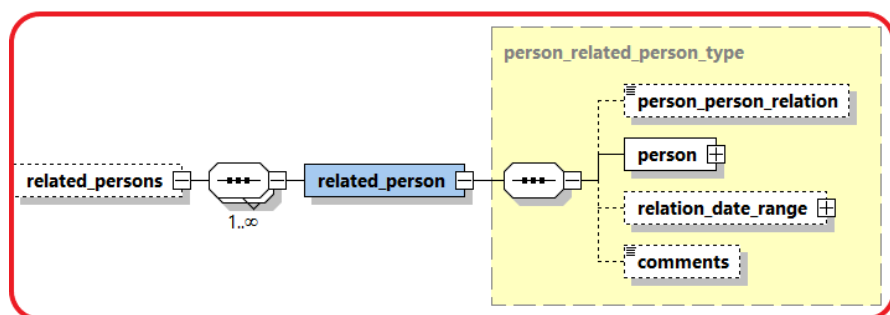


Figure 31: Type Person Related Persons

Name	Description	Length	Req.	Example
person_person_relation	Type of relation	Enumeration	N	See 5.23 Person-Person Relation Type

Person	Person node	Subnode	N	See 4.3.1 Type t_person_my_client / 4.3.2 Type t_person
relation_date_range	The time frame of the relation between the two persons.	Subnode	N	See 4.10 Relation Date Range Type
comments	Description of the function held by the PEP.	Comments type	N	

Table 31: Type Person Related Persons

4.4 Type `t_person_registration_in_report`

The structure of this type is similar to that of type `t_person` but dedicated to the reporting person details.

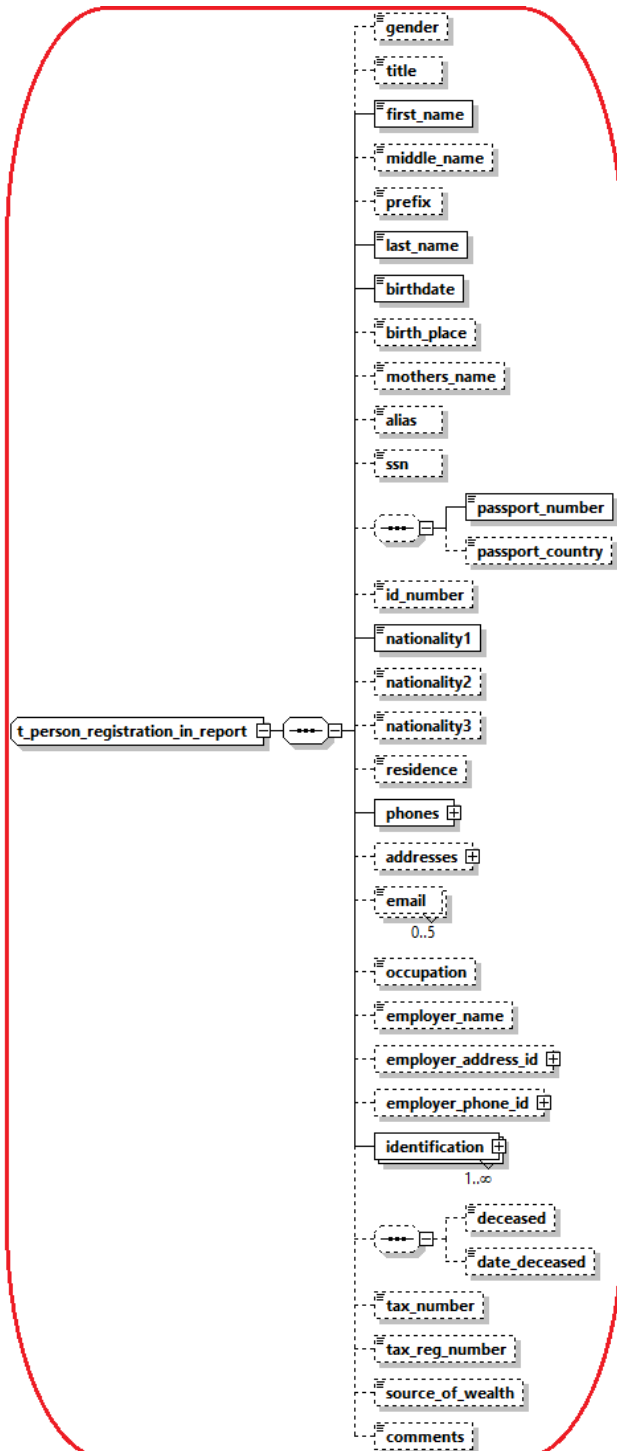


Figure 32: Type `t_person_registration_in_report`

Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	See 5.27 Gender
title	Title	30	N	Dr.
first_name	First name	100	Y	Elias
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Maschera
birthdate	Birth date	Date/Time	Y	1953-01-25T00:00:00
birth_place	Place of birth	255	N	Vienna
mothers_name	Can be used as father, mother, second name, other name, etc. as per country's regulation	100	N	Smith
alias	Alias Name, Known as, ..etc.	100	N	
ssn	Social Security Number	25	N	National ID number
passport_number	No. of passport	25	Y	Passport num to be used as unification rule
passport_country	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule
id_number	Any additional identification number rather than SSN and passport	25	N	Additional identification number
nationality1	Country of Nationality (1)	Enumeration	N	See 5.14 Country Codes

nationality2	Country of Nationality (2)	Enumeration	N	See 5.14 Country Codes
nationality3	Country of Nationality (3)	Enumeration	N	See 5.14 Country Codes
residence	Country of residence	Enumeration	N	See 5.14 Country Codes
phones	A Holder node for a 1-to-many Phones		Y	
	phone	One occurrence of phone node	Type t_phone	Y See 4.7 Type t_phone
addresses	A Holder node for a 1..many Addresses		N	
	address	One occurrence of address node	Type t_address	Y See 4.6 Type t_address
network_devices	supports multi occurrence of "network device".	Subnode	N	See 4.9.1 Network Device Type
}	email	Email address	email_address (255)	Y test@mail.com
	emails	supports several emails for the reported person in comparison with currently fix 5 emails	email_address (255)	N
occupation	Occupation	255	N	Financial Analyst
	employer_name	Employer's name	255	N FIU
	employer_address_id	Employer's address	type t_address	N See 4.6 Type t_address

employer_phone_id		Employer's phone	type t_phone	N	See 4.7 Type t_phone
identification		Subnode(s) for identification documents	subnode	Y	See 4.3.6 Type t_person_identification
deceased	A Boolean to indicated if person has passed away		Boolean	N	
deceased_date	If deceased, then RE can report deceased date if known as well		Date	N	
tax_number	The person tax number		100	N	
tax_registration_number	The person tax reg. number by tax auth.		100	N	
source_of_wealth	Free text description of the person source of wealth		255	N	
comments	Generic comments field		8000	N	

Table 32: Type t_person_registration_in_report

4.5 Type *t_conductor/t_conductor_my_client*

The structure for *t_conductor* is like that of type *t_person* but dedicated to the conductor person details. The same can be said for *t_conductor_my_client* being the same structure as *t_person_my_client*

4.5.1 *t_conductor*

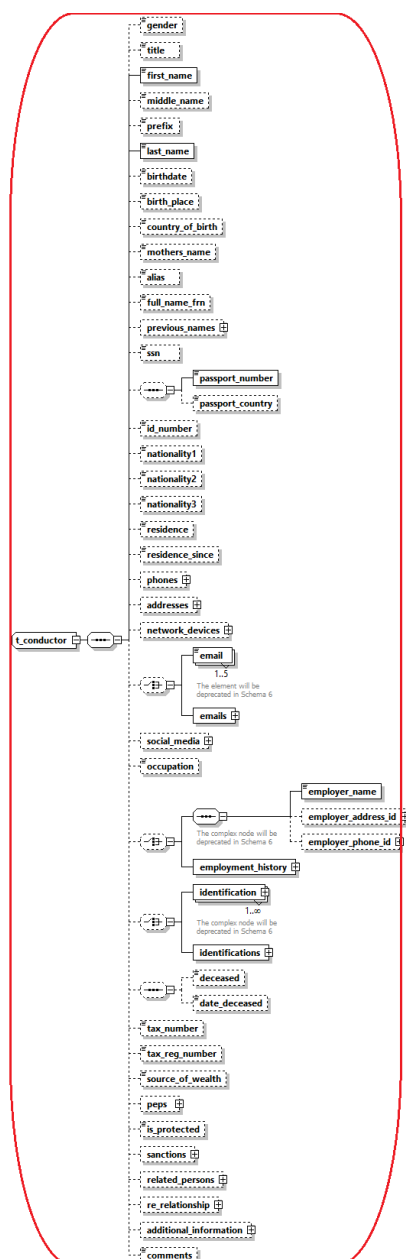


Figure 33: *t_conductor*

Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	See 5.27 Gender
title	Title	30	N	Dr.
first_name	First name	100	Y	Elias
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Maschera
birthdate	Birth date	Date/Time	N	1953-01-25T00:00:00
birth_place	Place of birth	255	N	Vienna
country_of_birth	Country of Birth	Enumeration	N	MT
mothers_name	Can be used as father, mother, second name, other name, etc. as per country's regulation	100	N	Smith
alias	Alias Name, Known as, ..etc.	100	N	
full_name_frn	Foreign language Full name.	255	N	
previous_names	multi-occurrence node which will allow reporting a list of previous names for the person.	Sub node	N	See 4.3.3 Type Previous Names
ssn	Social Security Number	25	N	National ID number
passport_number	No. of passport	25	Y	Passport num to be used as unification rule
passport_country	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule

id_number		Any additional identification number rather than SSN and passport		25	N	Additional identification number	
nationality1		Country of Nationality (1)		Enumeration	N	See 5.14 Country Codes	
nationality2		Country of Nationality (2)		Enumeration	N	See 5.14 Country Codes	
nationality3		Country of Nationality (3)		Enumeration	N	See 5.14 Country Codes	
residence		Country of residence		Enumeration	N	See 5.14 Country Codes	
residence_since		when the person has a resident status in the relevant country		Date/Time	N		
phones		A Holder node for a 1-to-many Phones			N		
	phone	One occurrence of phone node		Type t_phone	Y	See 4.7 Type t_phone	
addresses		A Holder node for a 1..many Addresses			N		
	address	One occurrence of address node		Type t_address	Y	See 4.6 Type t_address	
network_devices		supports multi occurrence of “network device”.		Subnode	N	See 4.9.1 Network Device Type	
}	email	Email address		email_address (255)	N	test@mail.com	
	emails	supports several emails for the reported person in comparison with currently fix 5 emails		email_address (255)	N		
social_media		optional 1-many relations to report various social accounts		Subnode	N	See 4.3.4 Type Social Account	
occupation		Occupation		255	N	Financial Analyst	

	employer_name	Employer's name	255	N	FIU
{	employer_address_id	Employer's address	type t_address	N	See 4.6 Type t_address
	employer_phone_id	Employer's phone	type t_phone	N	See 4.7 Type t_phone
	employment_history	Supports many occurrences of employment information. Previous employment elements will be deprecated in future schemas	Subnode	N	See 4.3.5 Type Employment
{	identification	Subnode(s) for identification documents	subnode	N	See 4.3.6 Type t_person_identification
	Identifications	Allows deprecating the current repeated root level Identification node with a parent cover node Identifications with many occurrences of single Identification element	subnode	N	See 4.3.6 Type t_person_identification
deceased	A Boolean to indicated if person has passed away		Boolean	N	
deceased_date	If deceased, then RE can report deceased date if known as well		Date	N	
tax_number	The person tax number		100	N	
tax_registration_number	The person tax reg. number by tax auth.		100	N	
source_of_wealth	Free text description of the person source of wealth		255	N	

peps	REs will be able to indicate if the reported person is a Politically Exposed Person with few additional attributes	Subnode	N	See 4.3.7 Type PEPS
is_protected	can be used for example to avoid disseminating protected subjects – goAML will just maintain the field.	Boolean	N	True/False
sanctions	supports multi occurrence of “sanction list”	Subnode	N	See 4.14 Sanctions Node
related_persons	An optional multiple relation sub node between a reported person and his/her social network.	Subnode	N	See 4.3.8 Type Person Related Persons
business_relationship	host the starting and dates of the relationship between the Reporting Entity and the Person	Subnode	N	See 4.12 Business Relationship
additional_information	A new optional generic node for adding any unplanned extra information.	Subnode	N	See 4.13 Additional Information
comments	Generic comments field	8000	N	

Table 33: *t_conductor*

4.5.2 t_conductor_my_client

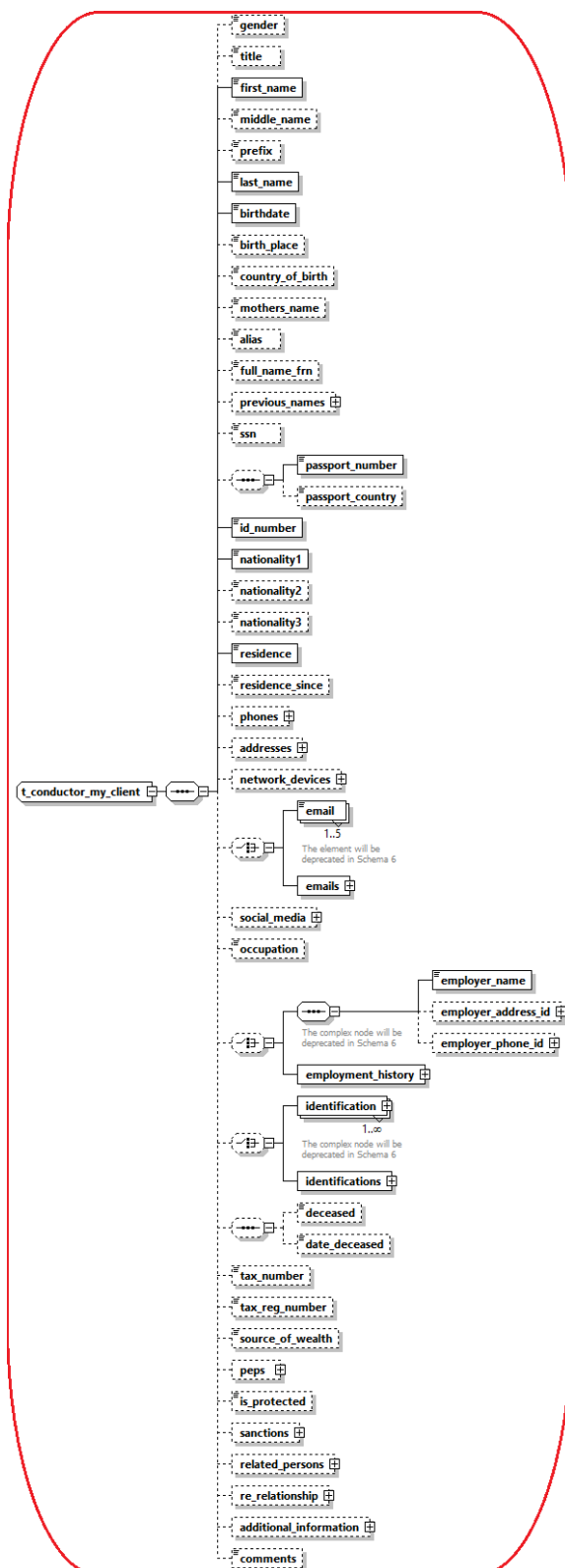


Figure 34: t_conductor_my_client

Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	See 5.27 Gender
title	Title	30	N	Dr.
first_name	First name	100	Y	Elias
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Maschera
birthdate	Birth date	Date/Time	Y	1953-01-25T00:00:00
birth_place	Place of birth	255	N	Vienna
country_of_birth	Country of Birth	Enumeration	N	MT
mothers_name	Can be used as father, mother, second name, other name, etc. as per country's regulation	100	N	Smith
alias	Alias Name, Known as, ..etc.	100	N	
full_name_frn	Foreign language Full name.	255	N	
previous_names	multi-occurrence node which will allow reporting a list of previous names for the person.	Sub node	N	See 4.3.3 Type Previous Names
ssn	Social Security Number	25	N	National ID number
passport_number	No. of passport	25	Y	Passport num to be used as unification rule
passport_country	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule

id_number		Any additional identification number rather than ssn and passport		25	Y	Additional identification number	
nationality1		Country of Nationality (1)		Enumeration	Y	See 5.14 Country Codes	
nationality2		Country of Nationality (2)		Enumeration	N	See 5.14 Country Codes	
nationality3		Country of Nationality (3)		Enumeration	N	See 5.14 Country Codes	
residence		Country of residence		Enumeration	Y	See 5.14 Country Codes	
residence_since		when the person has a resident status in the relevant country		Date/Time	N		
phones		A Holder node for a 1-to-many Phones			N		
	phone	One occurrence of phone node		Type t_phone	Y	See 4.7 Type t_phone	
addresses		A Holder node for a 1..many Addresses			N		
	address	One occurrence of address node		Type t_address	Y	See 4.6 Type t_address	
network_devices		supports multi occurrence of “network device”.		Subnode	N	See 4.9.1 Network Device Type	
}	email	Email address		email_address (255)	N	test@mail.com	
	emails	supports several emails for the reported person in comparison with currently fix 5 emails		email_address (255)	N		
social_media		optional 1-many relations to report various social accounts		Subnode	N	See 4.3.4 Type Social Account	
occupation		Occupation		255	N	Financial Analyst	

	employer_name	Employer's name	255	N	FIU
{	employer_address_id	Employer's address	type t_address	N	See 4.6 Type t_address
	employer_phone_id	Employer's phone	type t_phone	N	See 4.7 Type t_phone
	employment_history	Supports many occurrences of employment information. Previous employment elements will be deprecated in future schemas	Subnode	N	See 4.3.5 Type Employment
{	identification	Subnode(s) for identification documents	subnode	N	See 4.3.6 Type t_person_identification
	Identifications	Allows deprecating the current repeated root level Identification node with a parent cover node Identifications with many occurrences of single Identification element	subnode	N	See 4.3.6 Type t_person_identification
deceased	A Boolean to indicated if person has passed away		Boolean	N	
deceased_date	If deceased, then RE can report deceased date if known as well		Date	N	
tax_number	The person tax number		100	N	
tax_registration_number	The person tax reg. number by tax auth.		100	N	
source_of_wealth	Free text description of the person source of wealth		255	N	

peps	REs will be able to indicate if the reported person is a Politically Exposed Person with few additional attributes	Subnode	N	See 4.3.7 Type PEPS
is_protected	can be used for example to avoid disseminating protected subjects – goAML will just maintain the field.	Boolean	N	True/False
sanctions	supports multi occurrence of “sanction list”	Subnode	N	See 4.14 Sanctions Node
related_persons	An optional multiple relation sub node between a reported person and his/her social network.	Subnode	N	See 4.3.8 Type Person Related Persons
business_relationship	host the starting and dates of the relationship between the Reporting Entity and the Person	Subnode	N	See 4.12 Business Relationship
additional_information	A new optional generic node for adding any unplanned extra information.	Subnode	N	See 4.13 Additional Information
comments	Generic comments field	8000	N	

Table 34: *t_conductor_my_client*

4.6 Type t_address

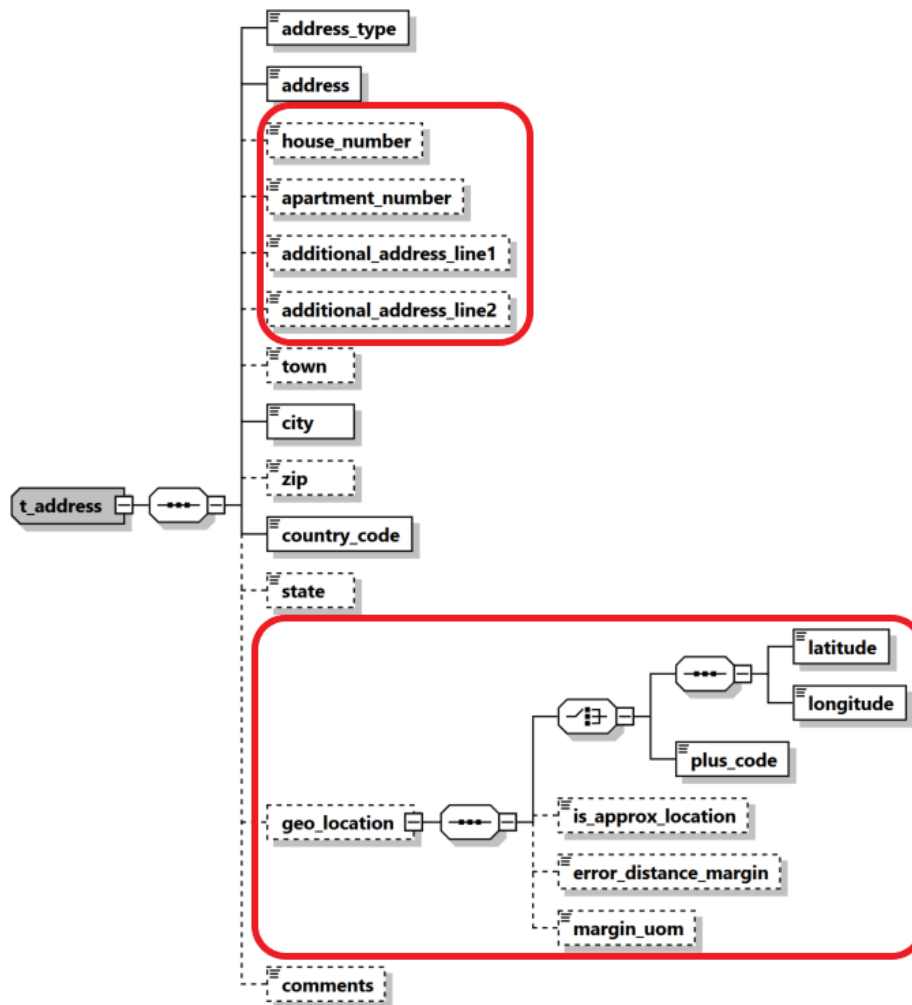


Figure 35: Overview type `t_address`

Name	Description	Length	Req.	Example
address_type	The contact type of the address	Enumeration	Y	Private, Business... See 5.9 Contact Type
address	Street name	100	Y	-
house_number	house number	25	N	19
apartment_number	Apartment number	25	N	23
additional_address_line1	Additional Address line 1	100	N	
additional_address_line2	Additional Address line 2	100	N	
town	Name of Town/district/.. as part of a City	255	N	
city	City	255	Y	
zip	Zip Code	10	N	A-1220
country_code	Country	Enumeration	Y	See 5.14 Country Codes
state	State	255	N	
geo_location	New set of elements covering geo location world	Subnode	N	See 4.16 Geo Location Node
comments	Generic comments	8000	N	

Table 35: Details type *t_address*

4.7 Type t_phone

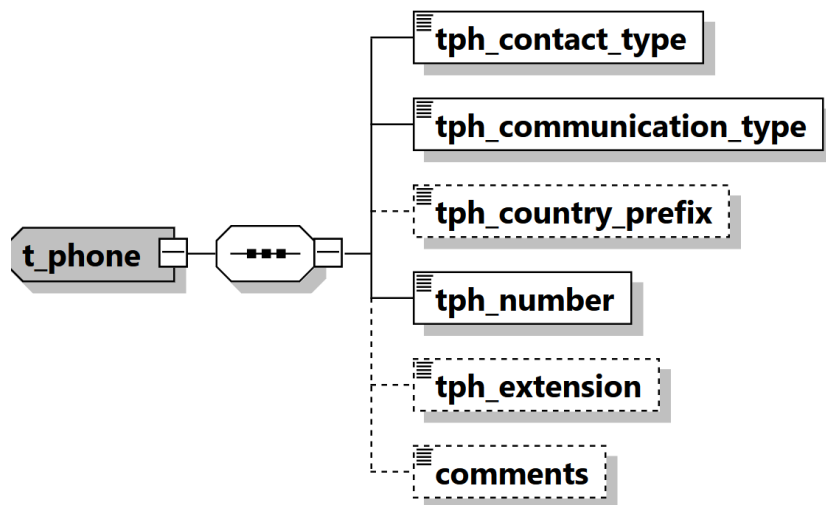


Figure 36: Overview type t_phone

Name	Description	Length	Req.	Example
tph_contact_type	The contact type of the Phone	Enumeration	Y	Private, Business,.. See 5.9 Contact Type
tph_communication_type	The comm.. type of the Phone	Enumeration	Y	Landline, mobile ,fax... See 5.10 Communication Type
tph_country_prefix	Country phone code	4	N	0043
tph_number	Phone number	50	Y	6655778
tph_extension	Phone's extension	10	N	7789
comments	Generic comments	8000	N	

Table 36: Details type t_phone

4.8 Type t_foreign_currency

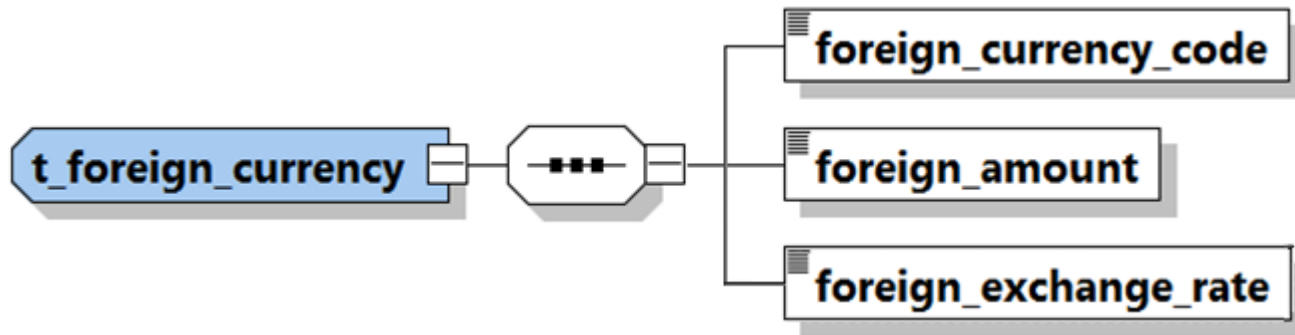


Figure 37: Overview type t_foreign_currency

Name	Description	Length	Req.	Example
foreign_currency_code	Currency Code according to ISO 4217	Enumeration	Y	See 5.13 Currencies
foreign_amount	Transaction amount in foreign currency	Decimal	Y	1300.50
foreign_exchange_rate	Exchange rate which has been used for transaction. Default is set as optional in Schema 5.0.2	Decimal	Y	1.45

Table 37: Details type t_foreign_currency

4.9 Type report_party_type

Introduced in schema 4.0 and enhanced in Schema 5. Represents an involved subject in a report and its details. Subject can be a Person, an Account or an Entity together with its my_client version in App 5.2 – Only one of them can be included per involved party.

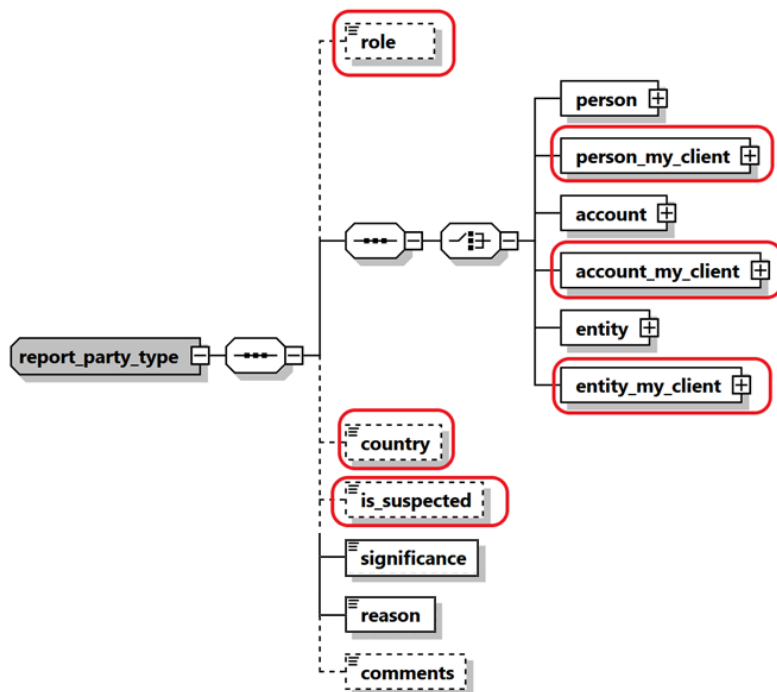


Figure 38: Overview type `report_party_type`

Name	Description	Length	Req.	Example
Role	Role of Party in this activity	Enumeration	N	See 5.33 Party Type Role
One of the following subject nodes must be included when activity node is used				
person	Represents an involved person node		See 4.3.2 Type t_person	Y
person_my_client	Represents an involved my_client person node		See 4.3.1 Type t_person_my_client	Y
account	Represents an involved account		See 4.1.2 Type t_account	Y
account_my_client	Represents an involved my_client account		See 4.1.1 Type t_account_my_client	Y
entity	Represents an involved entity		See 4.2.2 Type t_entity	Y
entity_my_client	Represents an involved my_client entity		See 4.2.1 Type t_entity_my_client	Y
country	The country of the reported party	Enumeration	N	MT
is_suspected	Indicates if the party is suspected or not.	Boolean	N	True/False
significance	The significance of the subject in the report	Integer	Y	0 - 10
reason	Why the subject is involved in the current report	8000	Y	
comments	Generic comments element	8000	N	

Table 38: Type report_party_type

4.9.1 Network Device Type

Introduced in schema 5.0.1, the type is used in goAML Application 5.1 to describe additional information in virtual currency and mobile money transactions regarding the used device and related IP address. The type will be used in goAML Application 5.2 to allow Reporting Entities like ISP providers to report online connections of their clients if needed.

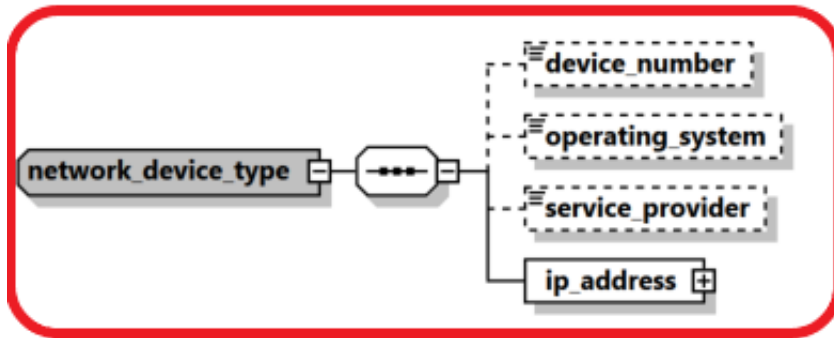


Figure 39: Network Device Type

Name	Description	Length	Req.	Example
device_number	The number of device to send/receive the money in transaction context	50	N	06501234567
operating_system	The device operating system	Enumeration	N	iOS, Android, Windows, Mac OS, Linux...
service_provider	The name of the service provider in case of mobile phone for example	255	N	Orange
ip_address	Describes the details of the used "IP address"	Subnode	Y	See 4.9.2 IP Address Type

Table 39: Type Network Device Type

4.9.2 IP Address Type

Introduced in schema 5.0.1, the type is used in goAML Application 5.1 to describe IP address details of the transaction party. The type will be used in goAML Application 5.2 to allow Reporting Entities like ISP providers to report online connections of their clients if needed.

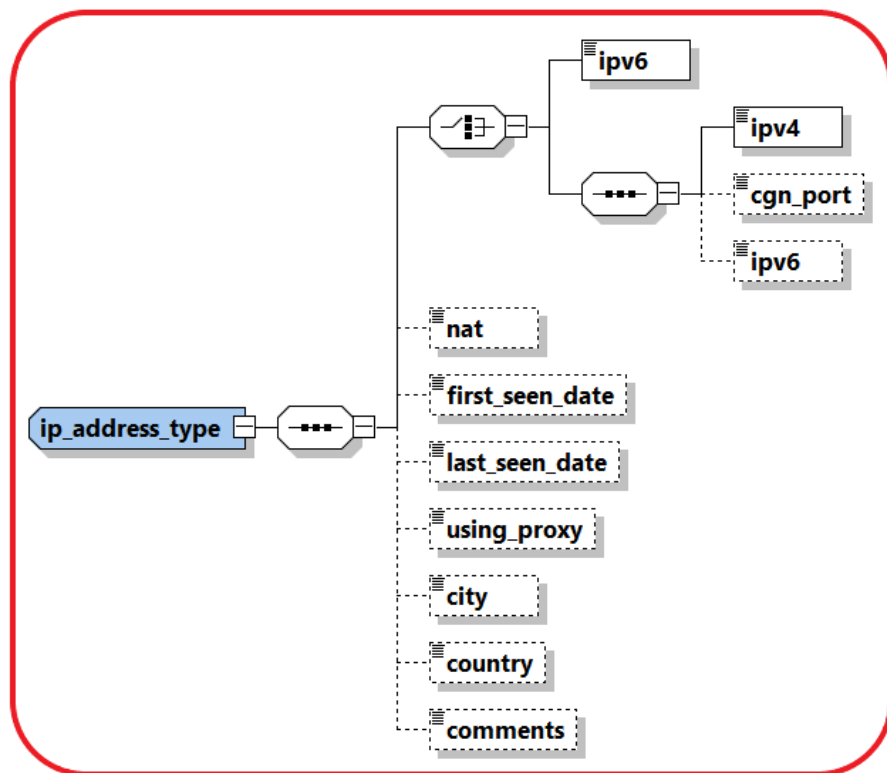


Figure 40: IP Address Type

Name	Description	Length	Req.	Example
ipv6	IP address in IPV6 format	45	Y	2001:0db8:85a3:0000: 0000:8a2e:0370:7334
	IP address in IPV4 format	15	Y	172.31.255.255
cg_n_port	CGN Port	Integer	N	44
ipv6	IP address in IPV6 format, can be reported optionally if the main format is ipv4	45	Y	2001:0db8:85a3:0000: 0000:8a2e:0370:7334
Nat	network address translation	15	N	1.1.1.1
first_Seen_date	First time IP address is reported	Date/Time	N	
last_Seen_date	Last time IP address is reported	Date/Time	N	
using_proxy	A flag to indicate if Proxy was used	Boolean	N	True/False
city	City name	255	N	
country	Country code	Enumeration	N	See 5.14 Country Codes
comments	Generic Comments	8000	N	

Table 40: Details IP Address Type

4.10 Relation Date Range Type

A new type describing relations date range was introduced and is used now with each relation between two nodes in goAML schema. The type is used gradually with each goAML release since 5.0 in combination with implementing the parent type. The Booleans are considered false by default if not reported.

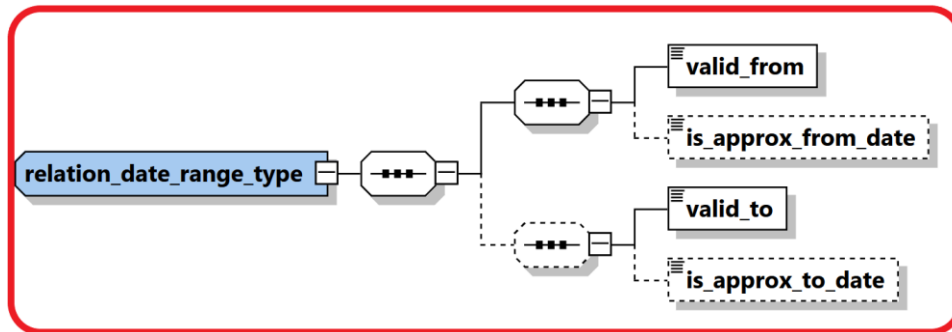


Figure 41: Relation Date Range Type

Name	Description	Length	Req.	Examples
valid_from	Valid FROM date	Date/Time	Y	1953-01-25T00:00:00
is_approx_from_date	Is the “Valid From Date” accurate?	Boolean	N	True/False
valid_to	Valid TO Date	Date/Time	Y	1954-01-25T00:00:00
is_approx_to_date	Is the “Valid To Date” accurate?	Boolean	N	True/False

Table 41: Details Relation Date Range Type

4.11 Comments Type

A new **comment_type** was introduced with max length of 8000 chars instead of 4000 as newer versions of SQL server supports longer length now. All elements like **Comments**, **Reason**, **Action** and **Description** elements all over the schema are defined now using the new type.

4.12 Business Relationship

A new subnode was introduced to describe the relation between the reported subjects and the reporting entity, including information regarding the relation type and timeframe. Person and Entities in goAML can have multiple relationships with different reporting entities.

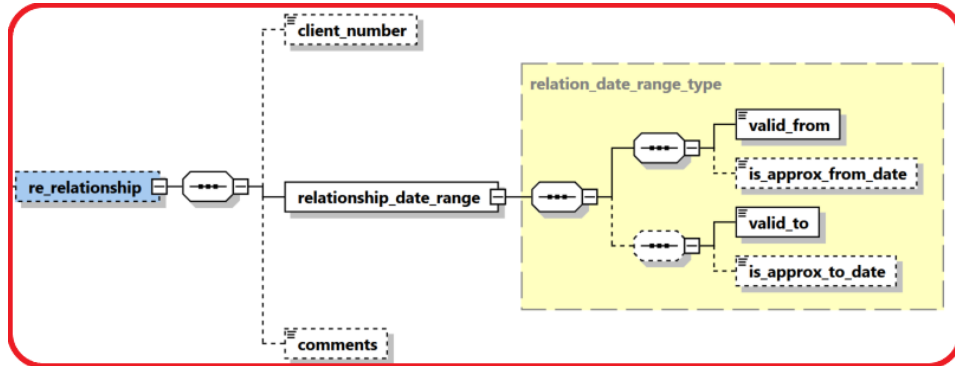


Figure 42: Overview Business Relationship

Name	Description	Length	Req.	Example
client_number	A reference number of the customer at the RE side	255	N	000111222
valid_from	Valid FROM date	Date/Time	Y	1953-01-25T00:00:00
is_approx_from_date	Is the "Valid From Date" accurate?	Boolean	N	True/False
valid_to	Valid TO Date	Date/Time	Y	1954-01-25T00:00:00
is_approx_to_date	Is the "Valid To Date" accurate?	Boolean	N	True/False
comments	Generic Comments	8000	N	

Table 42: Details Business Relationship

4.13 Additional Information

A new optional generic node for adding any number of unplanned extra information in a controlled way The node was implemented in goAML release 5.2 for Reports, Persons, Accounts and Entities.

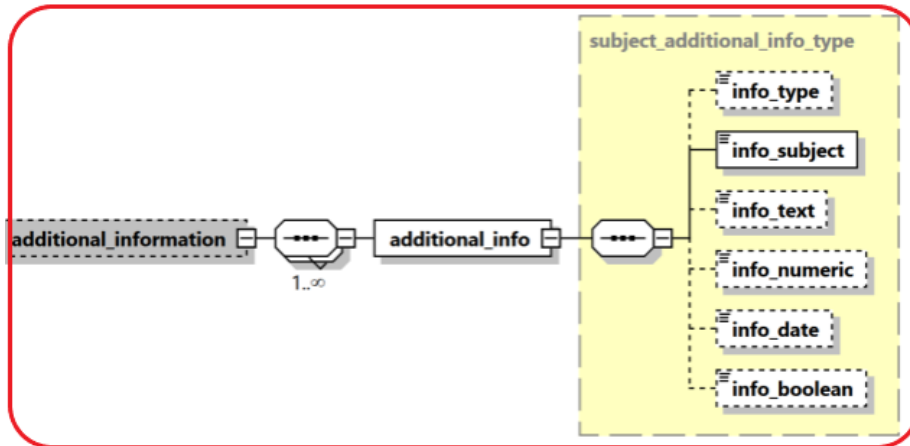


Figure 43: Overview Additional Information

Name	Description	Length	Req.	Examples
info_type	Type of the provided info	Enumeration	N	See 5.28 Additional Info Type
subject	Short description of the additional info	255	Y	
Info_text	A text value of the provided info	8000	N	
Info_numeric	A numeric value of the provided info	decimal	N	10.00
info_date	A date value of the provided info	Date Time	N	1953-01-25T00:00:00
Info_boolean	A Boolean value of the provided info	Boolean	N	True/False

Table 43: Details Additional Information

4.14 Sanctions Node

New sanctions node was added which supports multi occurrence of “sanction list”. Each Sanction list contains several details about the list like providing source, list name, matching criteria, date of joining/exiting a list, etc. The node will be implemented for Persons, Accounts and Entities and will be supported in goAML Release 5.2.

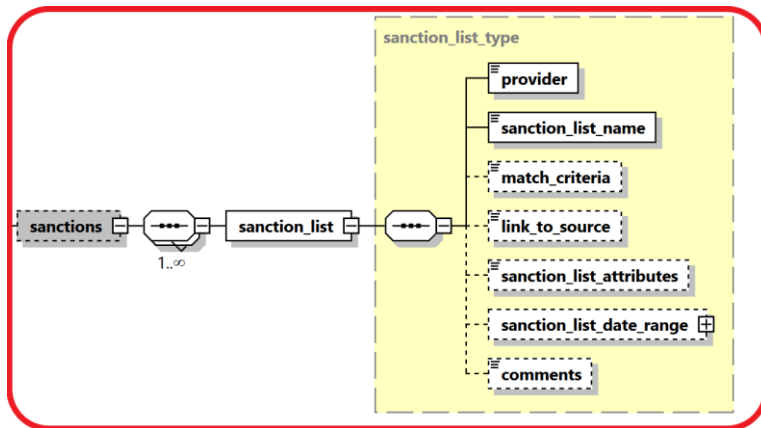


Figure 44: Overview Sanction Node with sanctions_list_type

Name	Description	Length	Req.	Example
Provider	Name of the sanctions list provider	255	N	
sanctions_list_name	Name of the sanctions list	255	Y	
match_criteria	Criteria used by the RE to match the reported subject with the name in the list.	8000	N	
link_to_source	A link to the subject in the sanction list document	255	N	
sanctions_list_attributes	Any additional info on the subject provided in the sanction list doc.	8000	N	
sanctions_list_date_range	The time frame of the subject existence in the list	Subnode	N	See 4.10 Relation Date Range Type
comments	Generic Comments	8000	N	

Table 44: Details Sanctions Node

4.15 Means of Transportation Node

A new complex node to capture customs related reports where subjects carry money cross borders as well as possible TBML reporting. The node supports multi routes per transaction with details regarding the reported point, transportation mode (Air, Sea, Road, Train, ..etc.), transportation type (Comm. Airline, Private Jet, Vessel, Cruise Ship, Car, Bus, ..etc.), then step direction, status, date, carrier details as well location details of each route.

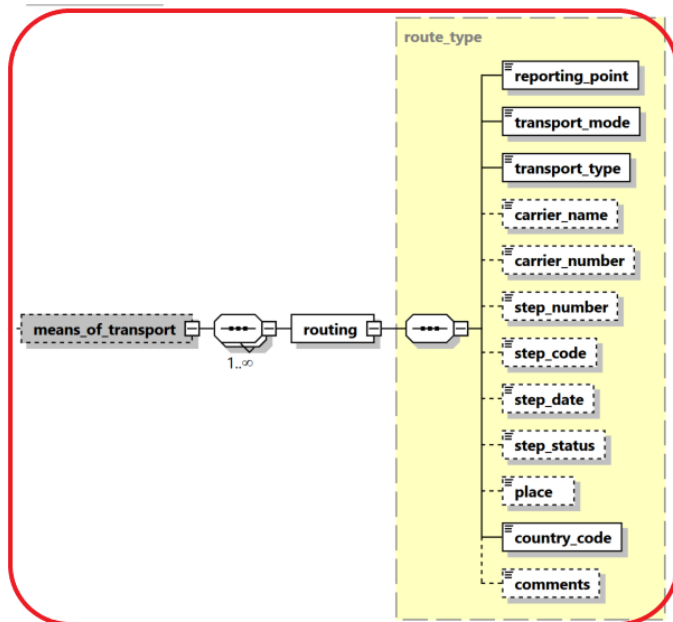


Figure 45: Overview Means of Transportation Node

Name	Description	Length	Req.	Example
reporting_point	Is the report if from this route step?	Boolean	N	True/False
transport_mode	Transportation mode	Enumeration	Y	See 5.29 Transportation Mode Type
transport_type	Transportation Type	Enumeration	Y	See 5.30 Transportation Type
carrier_name	Name of Carrier	255	N	UN Airline
carrier_number	Number of Carrier	255	N	UN123
step_number	The number of this step in the route	Integer	N	
step_code	The code of the step	Enumeration	N	See 5.31 Transportation Step Type
step_date	Date of the step	Date/Time	N	
step_status	Status of the step	Enumeration	N	See 5.32 Transportation Step Status Type
place	Name of the Entry/Exit place	255	N	Name of Port, Airport, Border Cross, ...
country_code	Name of step country	Enumeration	Y	See 5.14 Country Codes
comments	Generic Comments	8000	N	

Table 45: Details Means of Transportation Node

4.16 Geo Location Node

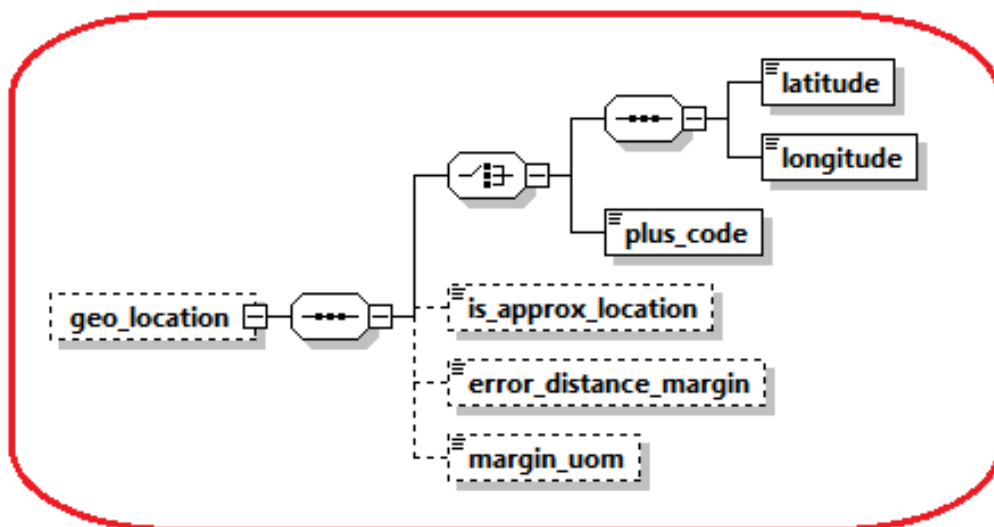


Figure 46: Geo Location Node

Name	Description	Length	Req.	Example
Latitude	Address latitude	Decimal -90>>90	N	48.210033
Longitude	Address longitude	Decimal -180>>180	N	16.363449
Plus Code	Address "Plus Code"	25	N	6CRP+R9
is_approx_location	Is the location accurate?	Boolean	N	True
error_distance_margin	Error margin	Decimal	N	500
Margin_uom	Unit of measurement for error	255	N	1000

Table 46: Geo Location Node

5. Lookup Values

As per schema 5.0.2, all schema lookups are defined as enumerations. Reporting entities will not be able to submit reports with undefined lookup codes.

Most of the lookup enumerations mentioned below are just predefined suggestions. Feel free to adjust them using “Lookup Master” screen.

5.1 Submission Type

Value	Description
E	Electronically
M	Manually
-	UNKNOWN

Table 47: Submission Type

5.2 Funds Type

Value	Description
E	Bank draft
K	Cash
D	Casino chips
P	Cheque
1	Cryptocurrency
C	Currency exchange
A	Deposit
2	Dividend Warrant
B	Electronic funds transfer
L	From Account
H	Life insurance policy
F	Money order
O	Other
J	Securities
G	Traveler's cheques
-	UNKNOWN

Table 48: Funds Type

5.3 Account Type

Value	Description
5	Basic Bank Account
9	Brokerage Account
14	Business Account
17	Business Loan
1	Call Deposit
19	Credit Card
20	Crypto Wallet
29	Crypto Address
15	Current Account
3	Escrow Account
21	E-Wallet
22	Forex Account
23	Gaming Account
25	Home Loan
11	Investment Account
24	Loan
7	Master Agent Account
6	Minors' Account
13	Other
26	Overdraft
10	Pension Scheme
27	Personal Loan
12	Prepaid Card
18	Savings Account
8	Sub-Account (Gaming)
28	Term Deposit Account
2	Trading Account
16	Trading Account
4	Trust Account
U	Unknown

Table 49: Accounts Type

5.4 Account Status Type

Value	Description
A	Active
BL	Blocked
CL	Closed
C	Dormant
B	Inactive
TP	Termination in Process
-	UNKNOWN

Table 50: Account Status Type

5.5 Identifier Type

Value	Description
A	Driver's License
B	National Identity Card
C	Passport
R	Registration Number
E	E-ID
"-"	UNKNOWN

Table 51: Identifier type

5.6 Conduction Type

Value	Description
B	ATM
C	Electronic Transaction
A	In-branch/Office
F	Other
REM	Remittance
"-"	UNKNOWN
H	Online
G	POS

Table 52: Conduction Type

5.7 Transaction Item Status

Value	Description
-	UNKNOWN
A	Bought
B	Sold
C	Leased
CC	Confiscated
D	Hired
E	Exchanged
F	Donated
G	Destroyed
H	Other
M	Mortgaged
R	Rented

Table 53: Transaction Item Status

5.8 Report Code

IRD	(IRD) - Incoming Request Domestic
IRI	(IRI) - Incoming Request International
ORD	(ORD) - Outgoing Request Domestic
ORI	(ORI) - Outgoing Request International
AIF	<p>Additional Information File</p> <p>The general idea behind an AIF is to receive a partial or full account disclosure for X number of months/years. The RE is supposed to wrap all those transactions (many of them would be normal day-to-day transactions with only a few that might be suspicious) in a single AIF report type and pass them over to the FIU. It is then up to the FIU to go through those transactions and get the suspicious ones aside in order to make them part of their investigation. However, the RE can make use of the description/comments/transmode comment fields available at the transaction- level to provide their feedback or flag them as 'suspicious' so that FIU does not have to do this.</p>
XBD	Automated Cross Border Disseminations
MSINT	Miscellaneous Intelligence Received
PEPR	Politically Exposed Person
STR	Suspicious Transaction Report
SAR	Suspicious Activity Report
TFR	Terrorism Financing Report
SCRBR	Suspicious of Cash Restriction Breach Report
CFAR	Call For Action Report
CFATR	Call For Action Transaction Report

Table 54: Report Code

5.9 Contact Type

Value	Description
-	Unknown
1	Private
2	Business
3	Registered
E	Official

Table 55: Contact Type

5.10 Communication Type

Value	Description
L	Landline Phone
M	Mobile Phone
F	Fax
E	Email

Table 56: Communication Type

5.11 Entity Legal Form Type

Value	Description
1	A
2	a.m.b.a.
3	a.s.
4	AA
5	AAM
6	AB
7	ACE
8	AD
9	ADSIC
10	AG
11	AIE
12	AIMP
13	AM
14	AöR
15	APB
16	ApS
18	AS
19	as oy
20	ASBL
21	ASSEP
22	Assoc
23	AUT

24	BeG
25	BI
26	BN
27	BRF
28	Bt.
29	BV
30	CB
31	CIAS
32	CLA
33	CLF
34	CLG
35	Coman
36	Coop
37	CRC
38	CUMA
39	d.d.
40	d.n.o.
41	d.v.z.
42	DAC
43	DZZD
44	e.V.
45	EAD
46	EE
47	EEIG
48	EG
49	eG
50	EGTC
51	EI
52	EIRL
53	Ek. för.
54	EOOD

55	EP
56	EPE
57	EPMR
58	ET
59	EZHS
60	f.m.b.a.
61	FCP
62	FIAR
63	FON
64	Fund
65	G
66	GAEC
67	GbR
68	GEIE
69	GEN
70	GIE
71	GIM
72	GIP
73	GIU
74	GIZ
75	GmbH
76	HB, KB
77	II
78	IK
79	IKE
80	IND
81	InvAG
82	INVCO
83	IVS
84	j.d.o.o.
85	j.t.d

86	JPahR
87	JSO
88	JV
89	k. s.
90	k.d.
91	k.d.d.
92	k.s.
93	KB
94	KBS
95	KBU
96	KD
97	KDA
98	Kft.
99	KG
100	KGaA
101	Kht.
102	Kkt.
103	KO
104	KöR
105	KS
106	KSS
107	KÜ
108	KUB
109	Lda
110	LF
111	LIG
112	LPRA
113	LTD
114	MB
115	MTÜ
116	N.O.

117	NA
118	NV
119	o.p.s.
120	OAP
121	OBA
122	OC
123	OE
124	OFE
125	OHG
126	OI
127	ONG
128	OOD
129	op
130	OPHLM
131	ÖrK
132	ÖrS
133	osk
134	OTH
135	Other
136	OÜ
137	OWM
138	P
139	PA
140	PAJ
141	PAP
142	PAR
143	PartG
144	PCC
145	PCDP
146	PCOMM
147	PCR

148	PDB
149	PETR
150	PF
151	PL
152	PLC
153	PP
154	PPI
155	PR
156	PS
157	PSV
158	PUBLaw
159	RA
160	RB
161	REL
162	RKOA
163	Rt.
164	RTK
165	S
166	S COOP
167	S. COM. P.A.
168	S. EN C. o S. COM.
169	S.A.
170	s.c.
171	s.d.d
172	s.j.
173	S.K.A.
174	s.m.b.a.
175	š.p.
176	s.r.o.
177	SA
178	SAA

179	SAD
180	SARL
181	SARLS
182	SAS
183	SAT
184	SAU
185	SC
186	SCA
187	SCE
188	SCOP
189	SCPI
190	SCS
191	SD
192	SDF
193	SDIS
194	SE
195	SECA
196	SECS
197	SECSP
198	SEPCAV
199	SF
200	SGR
201	SI
202	SIA
203	SICAF
204	SICAR
205	SICAV
206	SIVOM
207	SIVU
208	SLNE
209	SLP

210	SMC
211	SNC
212	SOCI
213	Sonstige
214	SöR
215	SOU
216	SP
217	sp. z o.o.
218	sp.k.
219	sp.p.
220	SPA
221	Spb
222	SPFPL SARL
223	SPFPL SAS
224	SPFPL SCA
225	SPöR
226	SpR
227	SR
228	SRL
229	SS
230	SUni
231	SV
232	svj
233	TR
234	TÜ
235	TUB
236	TuÜ
237	TUW
238	UAB
239	UC
240	UCITS

241	UG (haftungsbeschränkt)
-	Unknown
242	UÜ
243	UZN
244	V
245	v.o.s.
247	VER
248	VI
249	VO
250	VS
251	VU
252	VÚC
253	VVaG
254	VVE
255	WuR
256	wV
257	z.b.o.
258	z.o.o.
259	ZEM
260	ZUB
261	ZVJ
262	ZweckV
A	Private Limited
B	Public Limited

Table 57: Legal Form Type

5.12 Transaction Item Type

Value	Description
AI	Aircraft
AN	Antiques
AR	Artwork
BO	Bonds
CH	Chemicals
CL	Clothing
CO	Collectibles
C	Commodity
E	Equipment
F	Furniture
J	Jewelry
O	Other
PR	Precious Metals
P	Property
S	Services
SH	Shares
-	Unknown
V	Vehicle
VE	Vessels
W	Weapon

Table 58: Transaction Item Type

5.13 Currencies

World Currencies (and their abbreviations) listed by ISO 4217

ZRX	0x
LEND	Aave
ABBC	ABBC Coin
ELF	aelf
AE	Aeternity
AFN	Afghan afghani
ADK	Aidos Kuneen
AION	Aion
DZD	Algerian Dinar
ALGO	Algorand
ADF	Andorran franc (1:1 peg to the French franc)
AOA	Angolan kwanza
ANT	Aragon
ABT	Arcblock
ARDR	Ardor
ARS	Argentinean Nuevo Peso
ARK	Ark
AWG	Aruban Guilder
REP	Augur
AUD	Australian Dollar
AZN	Azerbaijani manat
BSD	Bahamian Dollar
BHD	Bahraini Dinar
THB	Baht
PAB	Balboa
BNT	Bancor
BBD	Barbados Dollar
BAT	Basic Attention Token
BEAM	Beam

BYR	Belarussian Rouble
BZD	Belize Dollar
BMD	Bermudian Dollar
BHP	BHPCoin
BIX	Bibox Token
BNB	Binance Coin
BUSD	Binance USD
BTC	Bitcoin
BCH	Bitcoin Cash
BCD	Bitcoin Diamond
BTG	Bitcoin Gold
BSV	Bitcoin SV
KAN	BitKan
BTS	BitShares
BTT	BitTorrent
STX	Blockstack
BST	BlockStamp
BOB	Boliviano
BOA	BOSAGORA
BRD	Bread
BND	Brunei Dollar
BGN	Bulgarian lev
BIF	Burundi Franc
BCN	Bytecoin
BTM	Bytom
CAD	Canadian Dollar
ADA	Cardano
KYD	Cayman Islands Dollar
CENNZ	Centrality
LINK	Chainlink
CLP	Chilean Peso

CHZ	Chiliz
CVC	Civic
XTS	Code reserved for testing purposes
COP	Colombian Peso
KMF	Comorian Franc
CDF	Congolese franc
BEC	Convertible Belgian Franc (no longer in use)
BAM	Convertible Mark
NIO	Cordoba oro
ATOM	Cosmos
CRC	Costa Rican Colón
LBA	Cred
HRK	Croatian Kuna
CRPT	Crypterium
CRO	Crypto.com Coin
CUP	Cuban Peso
CZK	Czech Koruna
GMD	Dalasi
DKK	Danish Krone
DASH	Dash
MANA	Decentraland
DCR	Decred
KPW	Democratic People's Republic of Korean Won
DENT	Dent
DCN	Dentacoin
DPT	Diamond Platform Token
DGB	DigiByte
DGTX	Digitex Futures
DGD	DigixDAO
DIVI	Divi
DJF	Djibouti Franc

DMT	DMarket
STD	Dobra
DOGE	Dogecoin
DOP	Dominican Republic Peso
DRG	Dragon Coins
AMD	Dram
DX	DxChain Token
XCD	East Caribbean Dollar
EDC	EDC Blockchain
EKT	EDUCare
EGP	Egyptian Pound
ELA	Elastos
ETN	Electroneum
ERD	Elrond
NRG	Energi
ENG	Enigma
ENJ	Enjin Coin
EOS	EOS
ERN	Eritrean Nakfa
CVE	Escudo Caboverdiano
ETH	Ethereum
ETC	Ethereum Classic
ETB	Ethiopian Birr
EUR	Euro (replacement name for the ECU)
FCT	Factom
FKP	Falkland Pound
FTM	Fantom
FET	Fetch.ai
FJD	Fiji Dollar
BEL	Financial Belgian Franc (no longer in use)
HUF	Forint

XAF	Franc de la Communauté financière africaine
XPF	Franc des Comptoirs français du Pacifique
FTT	FTX Token
FUN	FunFair
GT	Gatechain Token
GHS	Ghanaian cedi
GIP	Gibraltar Pound
GNO	Gnosis
GO	GoChain
XAU	Gold
GNT	Golem
HTG	Gourde
GRIN	Grin
GRS	Groestlcoin
PYG	Guarani
GNF	Guinean franc
GYD	Guyana Dollar
GXC	GXChain
ONE	Harmony
HBAR	Hedera Hashgraph
HEDG	HedgeTrade
HOT	Holo
HKD	Hong Kong Dollar
ZEN	Horizen
UAH	Hryvna
HPT	Huobi Pool Token
HT	Huobi Token
HC	HyperCash
HYN	Hyperion
ISK	Icelandic Króna
ICX	ICON

RLC	iExec RLC
IGNIS	Ignis
ILC	ILCoin
INR	Indian Rupee
IOST	IOST
MIOTA	IOTA
IOTX	IoTeX
IRR	Iranian Rial
IQD	Iraqi Dinar
JMD	Jamaican Dollar
JOD	Jordanian Dinar
KES	Kenyan Shilling
KICK	KickToken
PGK	Kina
LAK	Kip
KMD	Komodo
EEK	Kroon
KCS	KuCoin Shares
KWD	Kuwaiti Dinar
AOK	Kwanza
MMK	Kyat
KNC	Kyber Network
KGS	Kyrgyzstani Som
LAMB	Lambda
GEL	Lari
LVL	Lats
LBP	Lebanese Pound
ALL	Lek
HNL	Lempira
SLL	Leone
LRD	Liberian Dollar

LYD	Libyan Dinar
LBTC	Lightning Bitcoin
SZL	Lilangeni
LSK	Lisk
LTL	Litas
LTC	Litecoin
LOKI	Loki
LOOM	Loom Network
LRC	Loopring
LSL	Loti
MKD	Macedonian Dinar
MAID	MaidSafeCoin
MKR	Maker
MGA	Malagasy ariary
MWK	Malawian Kwacha
LSM	Maloti
MTP	Maltese Pound, replaced by Maltese Lira
MATIC	Matic Network
MUR	Mauritius Rupee
MCO	MCO
MEDX	MediBloc [ERC20]
MTL	Metal
ETP	Metaverse ETP
MXN	Mexican New Peso (replacement for Mexican Peso)
MDA	Moeda Loyalty Points
MDL	Moldavian Leu
MOF	Molecular Future
MONA	MonaCoin
XMR	Monero
MAD	Moroccan Dirham
MZN	Mozambican metical

DAI	Multi-collateral DAI
MX	MX Token
NGN	Naira
NAD	Namibian Dollar
NANO	Nano
NEX	Nash Exchange
NAS	Nebulas
XEM	NEM
NEO	Neo
NPR	Nepalese Rupee
CKB	Nervos Network
ANG	Netherlands Antilles Guilder
PEN	New Sol
CDZ	New Zaïre
NZD	New Zealand Dollar
PLN	New Zloty
NEW	Newton
NEXO	Nexo
BTN	Ngultrum
XXX	No currency
NOK	Norwegian Krone
NULS	NULS
NMR	Numeraire
OCEAN	Ocean Protocol
OKB	OKB
OMR	Omani Rial
OMG	OmiseGO
ONT	Ontology
ORBS	Orbs
MRO	Ouguiya
TOP	Pa'anga

PKR	Pakistani Rupee
XPD	Palladium (one troy ounce)
MOP	Pataca
PAX	Paxos Standard
PHP	Philippines Peso
PIVX	PIVX
XPT	Platinum (one troy ounce)
PLBT	Polybius
PPT	Populous
GBP	Pound Sterling (United Kingdom Pound)
POWR	Power Ledger
PAI	Project Pai
BWP	Pula
NPXS	Pundi X
QASH	QASH
QAR	Qatari Riyal
QTUM	Qtum
QNT	Quant
GTQ	Quetzal
ZAR	Rand
RVN	Ravencoin
BRL	Real
RDD	ReddCoin
REN	Ren
BUK	Replaced by MMK
KRW	Republic of Korean Won
R	Revain
KHR	Riel
RIF	RIF Token
MYR	Ringgit (also known as Malaysian Dollar)
RCN	Ripio Credit Network

ROX	Robotina
RON	Romanian new leu
MVR	Rufiyaa
IDR	Rupiah
RUB	Russian Federation Rouble (formerly RUR)
RWF	Rwandan Franc
SAN	Santiment Network Token
SAR	Saudi Riyal
SEELE	Seele
RSD	Serbian dinar
SCR	Seychelles Rupee
ILS	Shekel
SC	Siacoin
XAG	Silver (one troy ounce)
SLV	Silverway
SGD	Singapore Dollar
SAI	Single Collateral DAI
PES	Sol (replaced by New Sol [PEN])
SBD	Solomon Islands Dollar
SOLVE	SOLVE
SOS	Somali Shilling
SSP	South Sudanese Pound
LKR	Sri Lankan Rupee
SHP	St Helena Pound
EURS	STASIS EURO
SNT	Status
STEEM	Steem
XLM	Stellar
STORJ	Storj
STRAT	Stratis
SDG	Sudanese pound

SRD	Surinamese dollar
SEK	Swedish Krona
SXP	Swipe
CHF	Swiss Franc
CHSB	SwissBorg
GNS	Syli (also known as Guinea Franc)
SNX	Synthetix Network Token
SYP	Syrian Pound
SYS	Syscoin
TWD	Taiwan Dollar
TJS	Tajikistani somoni
BDT	Taka
WST	Tala
TZS	Tanzanian Shilling
KZT	Tenge
LUNA	Terra
USDT	Tether
XTZ	Tezos
THETA	THETA
RUNE	THORChain
TT	Thunder Token
TNT	Tierion
TPE	Timorian Escudo
TOMO	TomoChain
TTD	Trinidad and Tobago Dollar
TRX	TRON
1	TrueChain
TUSD	TrueUSD
MNT	Tugrik
TND	Tunisian Dinar
TRY	Turkish lira

TMT	Turkmenistani manat
UGX	Ugandan shilling
XFU	UIC franc (special settlement currency)
COU	Unidad de Valor Real
CLF	Unidades de Fomento
AED	United Arab Emirates Dirham
USD	United States Dollar
-	UNKNOWN
LEO	UNUS SED LEO
UYU	Uruguayan New Peso
UYP	Uruguayan Peso, replaced by Uruguayan New Peso (UYU)
USDC	USD Coin
UZS	Uzbekistani Som
VSYS	V Systems
VUV	Vatu
VET	VeChain
VEF	Venezuelan bolívar fuerte
XVG	Verge
VTC	Vertcoin
VND	Viet Nam Đồng
WTC	Waltonchain
WAN	Wanchain
WAVES	Waves
WAXP	WAX
WICC	WaykiChain
XOF	West African Franc
WIN	WINK
CHE	WIR Bank (complementary currency)
WXT	Wirex Token
XMx	XMax
XRP	XRP

YAP	Yap Stone
YER	Yemeni Riyal
JPY	Yen
YOU	YOU COIN
CNY	Yuan Renminbi
ZMW	Zambian Kwacha
ZB	ZB Token
ZEC	Zcash
XZC	Zcoin
ZIL	Zilliqa

Table 59: Currency Codes

5.14 Country Codes

This list states the country names (official short names in English) in alphabetical order as given in ISO 3166-1 and the corresponding ISO 3166-1-alpha-2 code elements.

Value	Description
AC	Ascension Island
AF	AFGHANISTAN
AX	ALAND ISLANDS
AL	ALBANIA
DZ	ALGERIA
AS	AMERICAN SAMOA
AD	ANDORRA
AO	ANGOLA
AI	ANGUILLA

AQ	ANTARCTICA_ENG
AG	ANTIGUA AND BARBUDA
AR	ARGENTINA
AM	ARMENIA
AW	ARUBA
AU	AUSTRALIA
AT	AUSTRIA
AZ	AZERBAIJAN
BS	BAHAMAS
BH	BAHRAIN
BD	BANGLADESH
BB	BARBADOS
BY	BELARUS
BE	BELGIUM
BZ	BELIZE
BJ	BENIN
BM	BERMUDA
BT	BHUTAN
BO	BOLIVIA
BQ	Bonaire, Saint Eustatius and Saba
BA	BOSNIA AND HERZEGOVINA

BW	BOTSWANA
BV	BOUVET ISLAND
BR	BRAZIL
IO	BRITISH INDIAN OCEAN TERRITORY
BN	BRUNEI DARUSSALAM
BG	BULGARIA
BF	BURKINA FASO
BI	BURUNDI
KH	CAMBODIA
CM	CAMEROON
CA	CANADA
CV	CAPE VERDE
KY	CAYMAN ISLANDS
CF	CENTRAL AFRICAN REPUBLIC
TD	CHAD
CL	CHILE
CN	CHINA
CX	CHRISTMAS ISLAND

CC	COCOS (KEELING) ISLANDS
CO	COLOMBIA
KM	COMOROS
CG	CONGO
CD	CONGO, THE DEMOCRATIC REPUBLIC OF THE
CK	COOK ISLANDS
CR	COSTA RICA
CI	COTE D'IVOIRE
HR	CROATIA
CU	CUBA
CW	Curaçao
CY	CYPRUS
CZ	CZECH REPUBLIC
DK	DENMARK
DJ	DJIBOUTI
DM	DOMINICA
DO	DOMINICAN REPUBLIC
EC	ECUADOR

EG	EGYPT
SV	EL SALVADOR
GQ	EQUATORIAL GUINEA
ER	ERITREA
EE	ESTONIA
ET	ETHIOPIA
FK	FALKLAND ISLANDS (MALVINAS)
FO	FAROE ISLANDS
FJ	FIJI
FI	FINLAND
FR	FRANCE
GF	FRENCH GUIANA
PF	FRENCH POLYNESIA
TF	FRENCH SOUTHERN TERRITORIES
GA	GABON
GM	GAMBIA
GE	GEORGIA
DE	GERMANY

GH	GHANA
GI	GIBRALTAR
GR	GREECE
GL	GREENLAND
GD	GRENADA
GP	GUADELOUPE
GU	GUAM
GT	GUATEMALA
GG	GUERNSEY
GN	GUINEA
GW	GUINEA-BISSAU
GY	GUYANA
HT	HAITI
HM	HEARD ISLAND AND MCDONALD ISLANDS
VA	HOLY SEE (VATICAN CITY STATE)
HN	HONDURAS
HK	HONG KONG
HU	HUNGARY
IS	ICELAND
IN	INDIA
ID	INDONESIA

IR	IRAN, ISLAMIC REPUBLIC OF
IQ	IRAQ
IE	IRELAND
IM	ISLE OF MAN
IL	ISRAEL
IT	ITALY
JM	JAMAICA
JP	JAPAN
JE	JERSEY
JO	JORDAN
KZ	KAZAKHSTAN
KE	KENYA
KI	KIRIBATI
KP	KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF
KR	KOREA, REPUBLIC OF
XK	KOSOVO
KS	KOSOVO (Old - KS)
KW	KUWAIT
KG	KYRGYZSTAN

LA	LAO PEOPLE'S DEMOCRATIC REPUBLIC
LV	LATVIA
LB	LEBANON
LS	LESOTHO
LR	LIBERIA
LY	LIBYAN ARAB JAMAHIRIYA
LI	LIECHTENSTEIN
LT	LITHUANIA
LU	LUXEMBOURG
MO	MACAO
MK	MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF
MG	MADAGASCAR
MW	MALAWI
MY	MALAYSIA
MV	MALDIVES
ML	MALI
MT	MALTA

MH	MARSHALL ISLANDS
MQ	MARTINIQUE
MR	MAURITANIA
MU	MAURITIUS
YT	MAYOTTE
MX	MEXICO
FM	MICRONESIA, FEDERATED STATES OF
MD	MOLDOVA, REPUBLIC OF
MC	MONACO
MN	MONGOLIA
ME	Montenegro
MS	MONTSERRAT
MA	MOROCCO
MZ	MOZAMBIQUE
MM	MYANMAR
NA	NAMIBIA
NR	NAURU
NP	NEPAL
NL	NETHERLANDS

AN	NETHERLANDS ANTILLES
NC	NEW CALEDONIA
NZ	NEW ZEALAND
NI	NICARAGUA
NE	NIGER
NG	NIGERIA
NU	NIUE
NF	NORFOLK ISLAND
MP	NORTHERN MARIANA ISLANDS
NO	NORWAY
OM	OMAN
PK	PAKISTAN
PW	PALAU
PS	PALESTINIAN TERRITORY, OCCUPIED
PA	PANAMA
PG	PAPUA NEW GUINEA
PY	PARAGUAY
PE	PERU

PH	PHILIPPINES
PN	PITCAIRN
PL	POLAND
PT	PORTUGAL
PR	PUERTO RICO
QA	QATAR
RE	REUNION
RO	ROMANIA
RU	RUSSIAN FEDERATION
RW	RWANDA
BL	SAINT BARTHÉLEMY
SH	SAINT HELENA
KN	SAINT KITTS AND NEVIS
LC	SAINT LUCIA
MF	SAINT MARTIN (FRENCH PART)
PM	SAINT PIERRE AND MIQUELON

VC	SAINT VINCENT AND THE GRENADINES
WS	SAMOA
SM	SAN MARINO
ST	SAO TOME AND PRINCIPE
SA	SAUDI ARABIA
SN	SENEGAL
RS	Serbia
SC	SEYCHELLES
SL	SIERRA LEONE
SG	SINGAPORE
SX	Sint Maarten (Dutch part)
SK	SLOVAKIA
SI	SLOVENIA
SB	SOLOMON ISLANDS
SO	SOMALIA
ZA	SOUTH AFRICA

GS	SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS
SS	South Sudan
ES	SPAIN
LK	SRI LANKA
SD	SUDAN
SR	SURINAME
SJ	SVALBARD AND JAN MAYEN
SZ	SWAZILAND
SE	SWEDEN
CH	SWITZERLAND
SY	SYRIAN ARAB REPUBLIC
TW	TAIWAN, PROVINCE OF CHINA
TJ	TAJIKISTAN
TZ	TANZANIA, UNITED REPUBLIC OF
TH	THAILAND

TL	TIMOR-LESTE
TG	TOGO
TK	TOKELAU
TO	TONGA
TT	TRINIDAD AND TOBAGO
TN	TUNISIA
TR	TURKEY
TM	TURKMENISTAN
TC	TURKS AND CAICOS ISLANDS
TV	TUVALU
UG	UGANDA
UA	UKRAINE
AE	UNITED ARAB EMIRATES
GB	UNITED KINGDOM
US	UNITED STATES
UM	UNITED STATES MINOR OUTLYING ISLANDS
-	UNKNOWN
UY	URUGUAY

UZ	UZBEKISTAN
VU	VANUATU
VE	VENEZUELA
VN	VIETNAM
VG	VIRGIN ISLANDS, BRITISH
VI	VIRGIN ISLANDS, U.S.
WF	WALLIS AND FUTUNA
EH	WESTERN SAHARA
YE	YEMEN
ZM	ZAMBIA
ZW	ZIMBABWE

Table 60: Country Codes

5.15 Account Person Role Type

Value	Description
CEO	CEO
CFO	CFO
CB	Co-Beneficiary (50%)
CSP	CSP Representative
D	Director
MJ	Major Beneficiary (>50%)
MB	Minor Beneficiary (>50%)
PS	Primary Signatory
SMO	Senior Managing Official
SH	Shareholder

UBO	Ultimate Beneficial Owner
-	Unknown

Table 61: Account Person Role Type

5.16 Entity Person Role Type

Value	Description
AU	Auditor
BO	Beneficial Owner
D	Director
JR	Judicial Representative
LR	Legal Representative
LQ	Liquidator
OT	Other
PT	Partners
SC	Secretary
SH	Shareholder
SG	Signatory
SMO	Senior Managing Official
UBO	Ultimate Beneficial Owner
-	Unknown

Table 62: Entity Person Role Type

5.17 Entity-Entity Relation Type

Value	Description
-	Unknown
TR	Trustee
SH	Shareholder
SET	Settlor
PRO	Protector
PAR	Partnerships
NSH	Nominee Shareholder / Fiduciary

Table 63: Entity-Entity Relation Type

5.18 Transaction Type

Value	Description
-	Unknown
DEPS	Deposit
WTHD	Withdraw
TRANS	Online Transfer

Table 64: Transaction Type

5.19 Transaction Status

Value	Description
-	Unknown
C	Complete
F	Freeze
I	Incomplete
P	Processed
S	Cancelled
U	Uploaded

Table 65: Transaction Status

5.20 Account Category Type

Value	Description
VACC	Virtual Account
-	Unknown
PYMCC	Payment Card
EMAIL	Email
ACCNT	Account
VWALT	Virtual Wallet
VADDR	Virtual Address
MOB	Mobile
IBAN	IBAN

Table 66: Account Category Type

5.21 Account-Entity Relation Type

Value	Description
-	Unknown
A	Owning Entity
B	Trustee
C	Notary

Table 67: Account-Entity Relation Type

5.22 Account-Account Relation Type

Value	Description
-	Unknown
SLP	Same Account Holder (Legal Person)
SNP	Same Account Holder (Natural Person)

Table 68: Account-Account Relation Type

5.23 Person-Person Relation Type

Value	Description
-	Unknown
1	Relative
2	Spouse / Co-habiting Partner
3	Employment
4	Business Partner
5	Other

Table 69: Person-Person Relation Type

5.24 Operating Systems Type

Value	Description
-	Unknown
Android	Android
Chrome OS	Chrome OS
iOS	iOS
Linux	Linux
MacOS	MacOS
Ubuntu	Ubuntu
Windows	Windows

Table 70: Operating Systems Type

5.25 Attachment Category

Value	Description
-	Other
1	Account Statements
2	KYC Documentation
3	Gaming Activity
4	Adverse Media / Screening Checks / OSINT
5	Supporting Documentation

Table 71: Attachment Category

5.26 Entity Status

Value	Description
-	Unknown
A	ACTIVE
D	DISSOLVED
I	INACTIVE
IL	IN LIQUIDATION

Table 72: Entity Status

5.27 Gender

Value	Description
-	Unknown

M	Male
F	Female

Table 73: Gender

5.28 Additional Info Type

Value	Description
-	Unknown

Table 74: Additional Info Type

5.29 Transportation Mode Type

Value	Description
-	Unknown

Table 75: Transportation Mode Type

5.30 Transportation Type

Value	Description
-	Unknown

Table 76: Transportation Type

5.31 Transportation Step Type

Value	Description
-	Unknown

Table 77: Transportation Step Type

5.32 Transportation Step Status Type

Value	Description
-	Unknown

Table 78: Transportation Step Status Type

5.33 Party Type Role

Value	Description
-	Unknown
A	Payee/Sender
B	Beneficiary / Receiver

Table 79: Party Type Role

6. Reference Tables

6.1 List of Indicators

6.1.1 Changes in this Version

PEPs [Politically Exposed Person] indicators are disabled, and it is now a requirement to complete the respective fields to of a PEP whenever one is identified.

Due to new report types that will be introduced [a guidance document will be made available later] the indicator identifier code “RT-33” explained as “Call for Action: High-Risk Jurisdictions subject to a Call for Action” has now been disabled.

Previously reporting entities were tasked with selecting the necessary jurisdiction connections [Domestic, EU/EEA, Non-EU/EEA] when a product indicator was selected, this is no longer required as the product indicator itself, only, is required now. However, the FIAU reminds all reporting entities to ensure that the jurisdictional connections of persons, accounts, or entities are populated in the relevant fields within the Schema as these fields are already present in the current version of goAML.

Indicator code “Internal-09” explained as “Cash restriction - EUR 10k breach” is now disabled due to the introduction of new procedures and policies.

6.1.2 List of Reasons for Suspicion

RS – Account Usage	Ri-02	€2,000 gaming threshold not met
RS – Account Usage	RS-10	Loans secured with assets held by third parties, unrelated to the borrower
RS – Account Usage	RS-111	Unnecessarily Complex Series of Transactions
RS – Account Usage	RS-17	Abnormal flight ticket purchases
RS – Account Usage	RS-26	Transactional activity is unexplained or is inconsistent with known customer profile
RS – Account Usage	RS-30	Withdrawal of money from foreign jurisdictions not in line with the customer's known profile or without apparent economic sense
RS – Account Usage	RS-34	Funnelling/Channelling of funds
RS – Account Usage	RS-35	Exposure to the dark web
RS – Account Usage	RS-38	Different IP address/Masking IP address
RS – Account Usage	RS-39	Deposit, minimal activity and request for a withdrawal
RS – Account Usage	RS-4	Transacting with unrelated/suspicious parties
RS – Account Usage	RS-46	Linked Accounts/Collusion ring identified
RS – Account Usage	RS-47	Usage of several/multiple debit/credit cards in the name of third-parties
RS – Account Usage	RS-49	Trade based money laundering
RS – Account Usage	RS-5	Excessive or accelerated repayments of long term loans (especially in cash)
RS – Account Usage	RS-51	Chip Dumping
RS – Account Usage	RS-54	Merchant (E-commerce) non-delivery/ sub-par delivery of goods
RS – Account Usage	RS-55	Third party/endorsed cheques
RS – Account Usage	RS-56	Withdrawals not in line with the customer's known profile
RS – Account Usage	RS-7	Asset is sold shortly after being purchased
RS – Account Usage	RS-9	Deposits not in line with the customer's known profile
RS – Account Usage	RT-18	Cross-border transportation of cash
RS – Account Usage	RT-35	Chargebacks
RS – Account Usage	RT-36	Structuring/smurfing of transactions
RS - Customer	Ri-11	IIP - Malta Individual Investor Programme (before 2020)
RS - Customer	Ri-12	IIP - Malta Citizenship by Investment - Citizenship by Naturalisation for Exceptional Services by Direct Investment (from 2020)
RS - Customer	RS-1	Unnecessarily Complex Structure
RS - Customer	RS-2	Uncooperative Customer
RS - Customer	RS-23	Links to Adverse media
RS - Customer	RS-37	Beneficial owner concealment
RS - Customer	RS-40	Unknown SOF/SOW
RS - Customer	RS-45	Unexplained wealth
RS - Customer	RS-48	Legal person not filing required documents with authorities (e.g.: annual return, financial statements with MBR etc.)
RS - Customer	RS-50	Money Mule(s)
RS - Customer	RS-52	Money Laundering Standalone (including professional money launderers)
RS - Customer	RS-53	Identity theft
RS - Customer	RT-11	Customer was refused service/onboarding /occasional transaction due to ML/FT concerns (including attempted transactions)

RS - Customer	RT-13	Business relation was closed due to ML/FT concerns
RS - Customer	RT-23	Exposure to High-Risk Jurisdiction
RS - Customer	RT-25	Cash-intensive undertaking
RS - Customer	RT-30	Company set up and liquidated soon after
RS - Customer	RT-38	IIP - Refused Investor Programs applicants (irrespective of the application year)

Table 80: List of Reasons for Suspicion

6.1.3 List of Predicate Offences

Predicate Offence	PO-1	Corruption and bribery
Predicate Offence	PO-10	Illicit trafficking in narcotic drugs and psychotropic substances
Predicate Offence	PO-11	Illicit trafficking in stolen and other goods
Predicate Offence	PO-12	Insider trading and market manipulation
Predicate Offence	PO-13	Kidnapping, illegal restraint and hostage-taking
Predicate Offence	PO-14	Murder, grievous bodily injury
Predicate Offence	PO-151	Participation in an international organised criminal group and racketeering
Predicate Offence	PO-152	Participation in a domestic organised criminal group and racketeering
Predicate Offence	PO-16	Counterfeiting and piracy of products
Predicate Offence	PO-161	Piracy (Example: Piracy at sea)
Predicate Offence	PO-18	Robbery or theft
Predicate Offence	PO-19	Trafficking in Human Beings - Sexual exploitation (excluding minors)
Predicate Offence	PO-191	Trafficking in Human Beings - Child Sexual Exploitation
Predicate Offence	PO-2	Unknown - Predicate Offence could not be established
Predicate Offence	PO-20	Smuggling (including in relation to customs and excise duties and taxes)
Predicate Offence	PO-211	Tax crimes: Corporate Income tax
Predicate Offence	PO-212	Tax crimes: Personal Income tax
Predicate Offence	PO-213	Tax crimes: Other indirect taxes (Excise duty, Import Duties, Stamp Duty, Green Taxes)
Predicate Offence	PO-214	Tax crimes: VAT Fraud
Predicate Offence	PO-215	Tax crimes: Other direct taxes (example: Property tax, Inheritance tax, Capital Gains tax, etc.)
Predicate Offence	PO-22	Terrorism, including terrorist financing
Predicate Offence	PO-222	Targeted Financial Sanctions
Predicate Offence	PO-223	Proliferation Financing (including dual-use goods)
Predicate Offence	PO-227	Terrorism: Left-wing extremism (Anarchists, Environmental & Animal Rights extremists, Anti-fascist)
Predicate Offence	PO-228	Terrorism: Radical Islamic terrorism (Extremist religious ideologies)
Predicate Offence	PO-229	Terrorism: Right-wing extremism (White Supremacists, Anti-government, Anti-immigration, Neo-Nazi)
Predicate Offence	PO-231	Migrant smuggling
Predicate Offence	PO-232	Trafficking in Human Beings - Forced Labour
Predicate Offence	PO-233	Trafficking in Human Beings - Organ Removal
Predicate Offence	PO-24	Usury
Predicate Offence	PO-25	Living off the earnings of prostitution and/or running a brothel

Predicate Offence	PO-26	Illicit arms trade
Predicate Offence	PO-27	Prostitution and solicitation
Predicate Offence	PO-28	Cybercrime
Predicate Offence	PO-3	Counterfeiting currency
Predicate Offence	PO-35	Embezzlement/ Misappropriation of funds
Predicate Offence	PO-36	Conducting a regulated activity without an adequate licence to do so (illegal gambling, underground banking, cheques, hawala, etc.)
Predicate Offence	PO-37	Match-fixing
Predicate Offence	PO-4	Environmental crime
Predicate Offence	PO-5	Extortion
Predicate Offence	PO-6	Forgery
Predicate Offence	PO-718	Fraud

Table 81: List of Predicate Offences

6.1.4 List of Amounts

Amount	Amount-01	EUR 0-10,000
Amount	Amount-02	EUR 10,001-50,000
Amount	Amount-03	EUR 50,001-100,000
Amount	Amount-04	EUR 100,001-500,000
Amount	Amount-05	EUR 500,001-1,000,000
Amount	Amount-06	EUR 1,000,001-5,000,000
Amount	Amount-07	EUR 5,000,001-10,000,000
Amount	Amount-08	EUR 10,000,001-50,000,000
Amount	Amount-09	EUR 50,000,001 and over
Amount	Amount-10	Amount not specified/ not available

Table 82: List of Amount Indicators

6.1.5 List of Products

Product	Prod-11	Debit/Credit Cards
Product	Prod-111	Cash
Product	Prod-112	ATM
Product	Prod-14	e-Money Accounts
Product	Prod-17	Payment services
Product	Prod-20	Money remittance services
Product	Prod-22	Acquiring/Merchant Services
Product	Prod-24	Loans: External finance (Including: Bank Loans)
Product	Prod-26	Table Games
Product	Prod-27	Gaming Machines
Product	Prod-30	Random number games
Product	Prod-34	Sports Betting
Product	Prod-36	Cryptocurrency
Product	Prod-42	Crypto mixers/tumblers
Product	Prod-44	Prepaid Cards
Product	Prod-46	Voluntary Organisations
Product	Prod-49	Foundations
Product	Prod-5	Bank Accounts
Product	Prod-52	Immovable Property: Domestic
Product	Prod-53	Immovable Property: EU/EEA
Product	Prod-54	Immovable Property: Non EU/EEA
Product	Prod-55	Company Formation
Product	Prod-56	Directorship/company secretary services
Product	Prod-57	Provision of registered office, business or correspondence address for businesses
Product	Prod-58	Tax Advice
Product	Prod-59	Accounting/Audit Services
Product	Prod-60	Trusts
Product	Prod-63	Investment products
Product	Prod-67	Cheques (Including Bank Draft)
Product	Prod-68	Precious metals/stones and/or other high value goods
Product	Prod-69	Nominee Shareholding
Product	Prod-71	Fiduciary Services
Product	Prod-72	Crowdfunding
Product	Prod-73	High Value Moveable Assets
Product	Prod-74	Holding Company
Product	Prod-75	Life insurance/assurance products
Product	Prod-76	Loans: BO/Shareholder
Product	Prod-77	Loans: Company-to-company
Product	Prod-78	Safe Deposit Boxes
Product	Prod-79	Shell Company/ies
Product	Prod-80	Trading Company
Product	Prod-9	Virtual IBANs

Table 83: List of Product Indicators

6.1.6 List of Internal Indicators

Internal	Internal-10	Subject person disseminated intelligence to foreign counterparts
Internal	Internal-27	Project Defunct I - 2020
Internal	Internal-28	Project Defunct II - 2021
Internal	Internal-29	Project Defunct III - 2022
Internal	Internal-30	Project Defunct IV - 2023

Table 84: List of Internal Indicators