



The 2023 AML/CFT Conference for the Investment Services Sector

Date: Tuesday 24 October 2023
Time: 09:00
Location: Giardini Lambrosa, Sqaq Taċ-Ċawla, Rabat
Price: €100

Agenda:

Registration	08:15-09:00
Introductory Remarks <i>Alfred Zammit - Acting Director (FIAU)</i>	09:00-09:10
Investment Services Sector: Risk Assessments <i>Roxanne Borg - Legal Affairs (FIAU)</i>	09:10-09:30
Licencing Aspects of the Investment Sector <i>Claire Tanti – Investment Services Supervision (MFSA)</i>	09:30-10:00

Source of Wealth & Source of Funds: Q&A for the Investment Services Providers Sector <i>Carmela Loredana Umbro - Legal Affairs (FIAU)</i>	10:00-10:25
<i>Coffee Break</i>	10:25-10:45
Investment Services & the AML Package - What News? <i>Clara Galdies - Legal Affairs (FIAU)</i>	10:45-11:15
Panel Discussion: Ensuring Compliance within the Investment Services Sector <i>Jeremy Zarb- Enforcement Section (FIAU)</i> <i>Rudolf Muscat- Supervision Section (FIAU)</i> <i>Mario Zerafa- Malta Asset Servicing Association (MASA)</i> <i>David Shead- Malta Stock Exchange Members Sub-Committee (MASA)</i>	11:15-12:30
Q&As on Morning Topics	12:30-12:45
Lunch Break	12:45-14:15
How Sanctions impact the Investment Sector <i>Mariella Grech (SMB)</i>	14:15-14:35
FIAU Implementing Procedures Part II on Funds <i>Jonathan Phyll – Legal Affairs (FIAU)</i>	14:35-15:20

<i>Coffee Break</i>	15:20-15:40
Reporting Trends in the Investment Sector <i>Daniel Mercieca - Intelligence Analysis (FIAU)</i>	15:40- 16:05
Q&As on Afternoon Topics	16:05-16:20
Closing Remarks <i>Kenneth Farrugia - Chairman (FIAU) & CEO (MFSA)</i>	16:20-16:30

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